



# Combined Air Emissions Reporting System (CAERS)

## Reporting Control Devices in CAERS

04/14/2021

# Housekeeping:

- This training is being recorded
- Everyone muted, please don't put us on hold
- Type your questions in the chat box
- PPT and recording will be made available on the CAER website

# Disclaimer

This training is intended for instructional purposes only. Any data or facility information shown in the training, is illustrative only, and should not be confused with a facility's live report for any given inventory year.

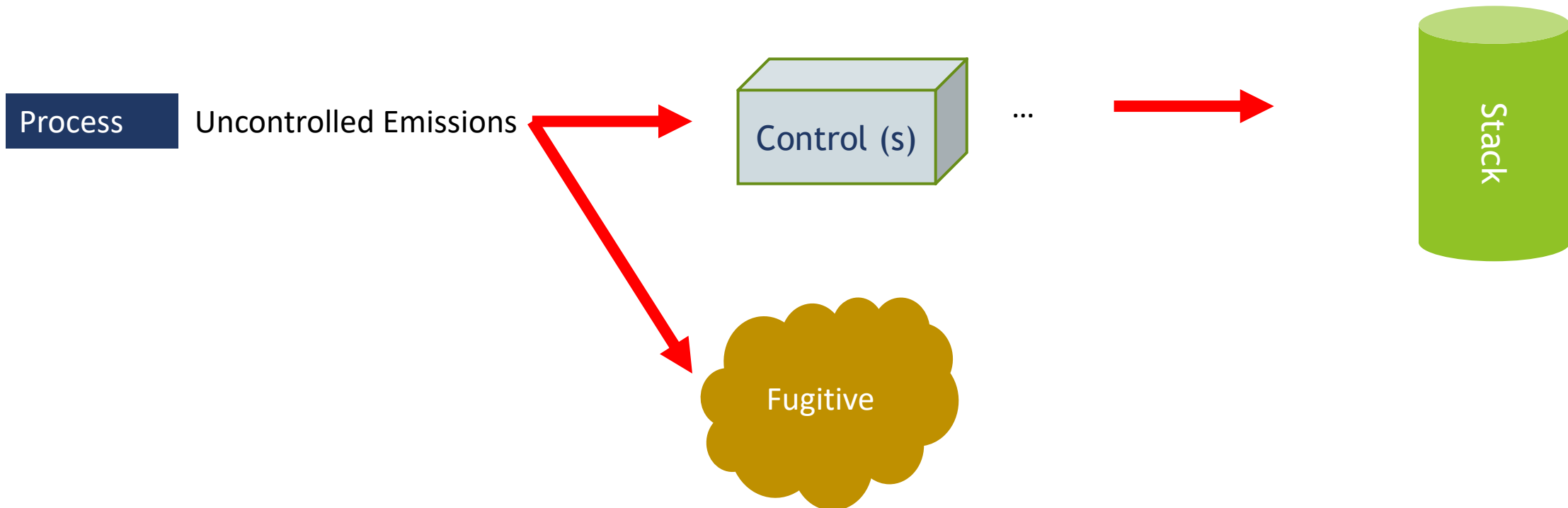
# Outline of Training

- New “Path” Approach Concepts
- Examples & How to Enter Data for:
  - No Controls
  - One Control Device
  - More than One Control Device
- Questions & Answers

# New “Path” Approach Concepts

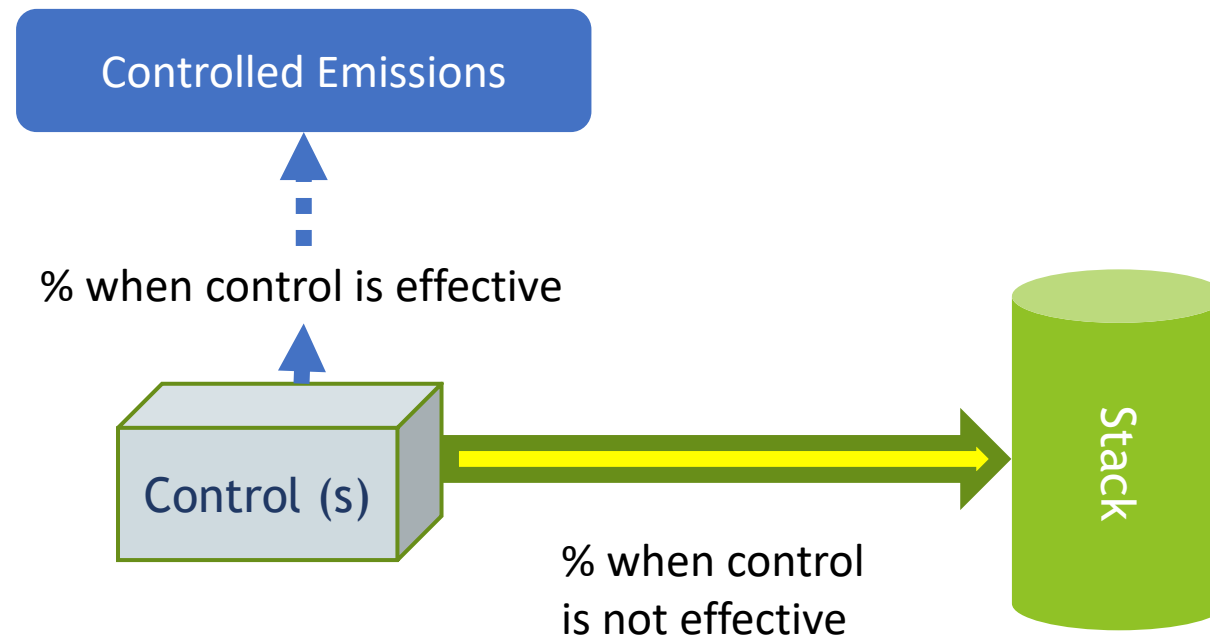
# Basic Definitions: Release point apportionment

**Percent release point apportionment:** The percentage of an exhaust gas stream that is actually collected for routing to a set of control devices. This value could be obtained from the vendor, or measured at the facility. Previous terminology: Percent capture efficiency. Percent captured = Percent going to “stack” type release points. Percent not captured = percent going to “fugitive” release point.



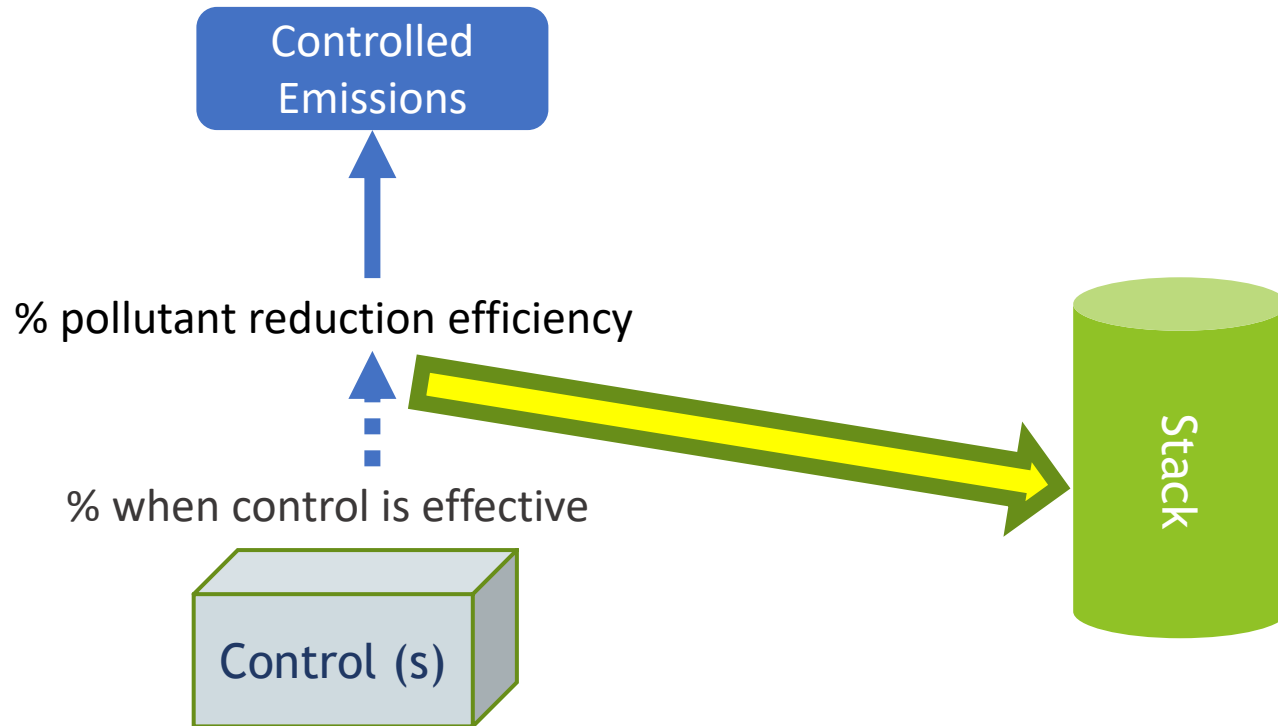
# Basic Definitions: Percent Control Effectiveness

**Percent control effectiveness:** The percentage of time or activity throughput that a control approach is operating as designed, including the capture and reduction devices. This percentage accounts for the fact that controls typically are not 100 percent effective because of equipment downtime, upsets and decreases in control efficiencies. This could be estimated from the amount of time the control is operational, versus down for maintenance or repairs. When the control is not effective, the pollutant is not removed from the emissions stream.



# Basic Definitions: Percent Pollutant Reduction Efficiency

**Percent Pollutant Reduction Efficiency:** The percent reduction achieved for the pollutant when all control measures are operating as designed. This could be obtained from the vendor.





# Previously - “Approach Method”

Approach: Contained a collection of controls applied to a unit or process.

- Could contain:
  - Multiple control measures (scrubber, precipitator, etc.), some controls listed could be a “group” of controls
  - Multiple pollutants being controlled with an overall % reduction efficiency reflecting *all* of the control devices in the control approach
    - ❖ Implicit is that every measure controls every pollutant (which we know is not true)
- Overall % capture efficiency, and % effectiveness
- Allowed us to show the overall reduction % for a given process – pollutant - release point combination, but not at individual control level

# Previously – “Approach Method”

*We couldn't:*

- Describe controls configuration at a facility
- Define the relationship between controls and units, process, and / or release points
- “Reuse” controls that are shared with other components (units, processes, and release points) – i.e. had to enter an approach containing the relevant control for each unit/process/release point combination
- Change the property values of the control information with ease

# Previously – “Approach Method”

*We couldn't:*

- Describe controls configuration at a facility
- Define the relationship between controls and units, process, and / or release points
- In reporting data, “reuse” controls that are shared with other components (units, processes, and release points) – i.e. had to enter an approach containing the relevant control for each unit/process/release point combination
- Change the property values of the control information with ease

# New – “Path Method”

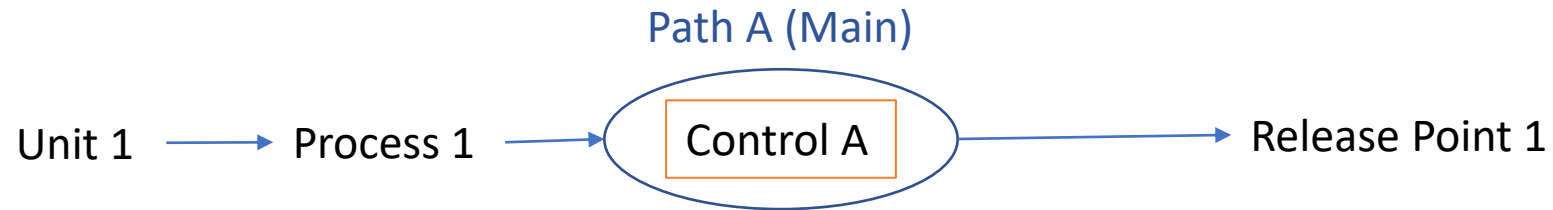
- A list of individual controls exist for the facility
- Only the pollutants controlled by each individual piece of control equipment are listed with the control. The % reduction for the pollutant is the amount reduced due to this one piece of equipment.
- Control configuration is defined:
  - Single
  - In series
  - In parallel
  - Combinations
- Controls are placed in paths and can be:
  - “Reused” in reporting
  - Associated and linked between a unit/process and a release point

# New – “Path Method”

- A **Path** contains:
  - One or more controls that are connected
  - A *child* path contains:
    - one or more controls
    - a “smaller” child path containing one or more controls
- A *Main* Path contains:
  - One or more controls or children paths
  - Associates the controls/paths it contains from a unit/process to a release point

# Single Control

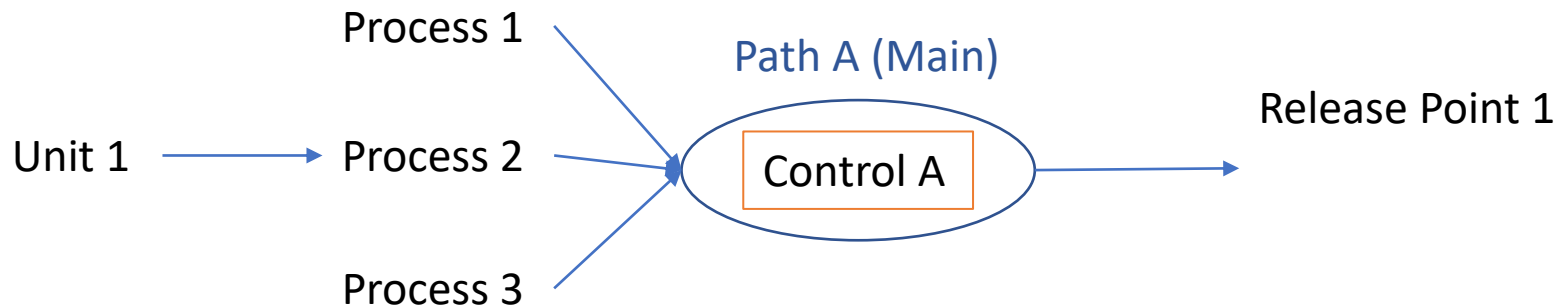
For a single control one path is needed. The control is placed in that path. That path will be the main path and it will associate the process to the release point. Because there's only one control, path assignment is just equal to 1.



**Need:** pollutant reduction efficiency, effectiveness of control, release point apportionment, path assignment = 1, control apportionment = 100%.

# Single Control on Multiple Units/Processes

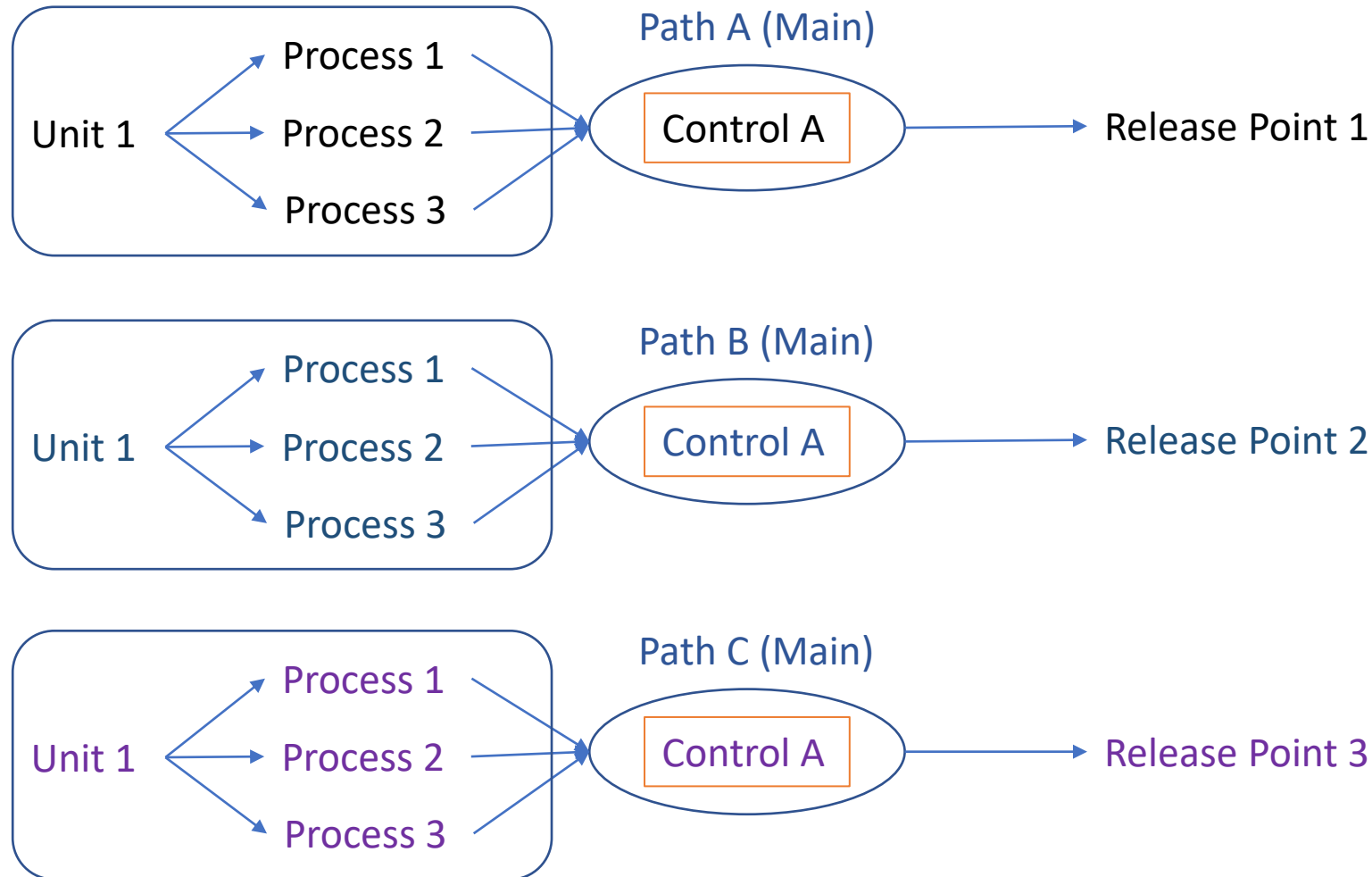
Note that once this path is created for a single control, any process sending emissions to the same release point can use that path. So you only have to set that path up once, then reuse it as needed for each process.



Old Approach method would have required creation of one approach per unit/process/release point combination. New path method “reuses” Path A.

**Need:** pollutant reduction efficiency, effectiveness of control, release point apportionment, path assignment = 1, control apportionment = 100%.

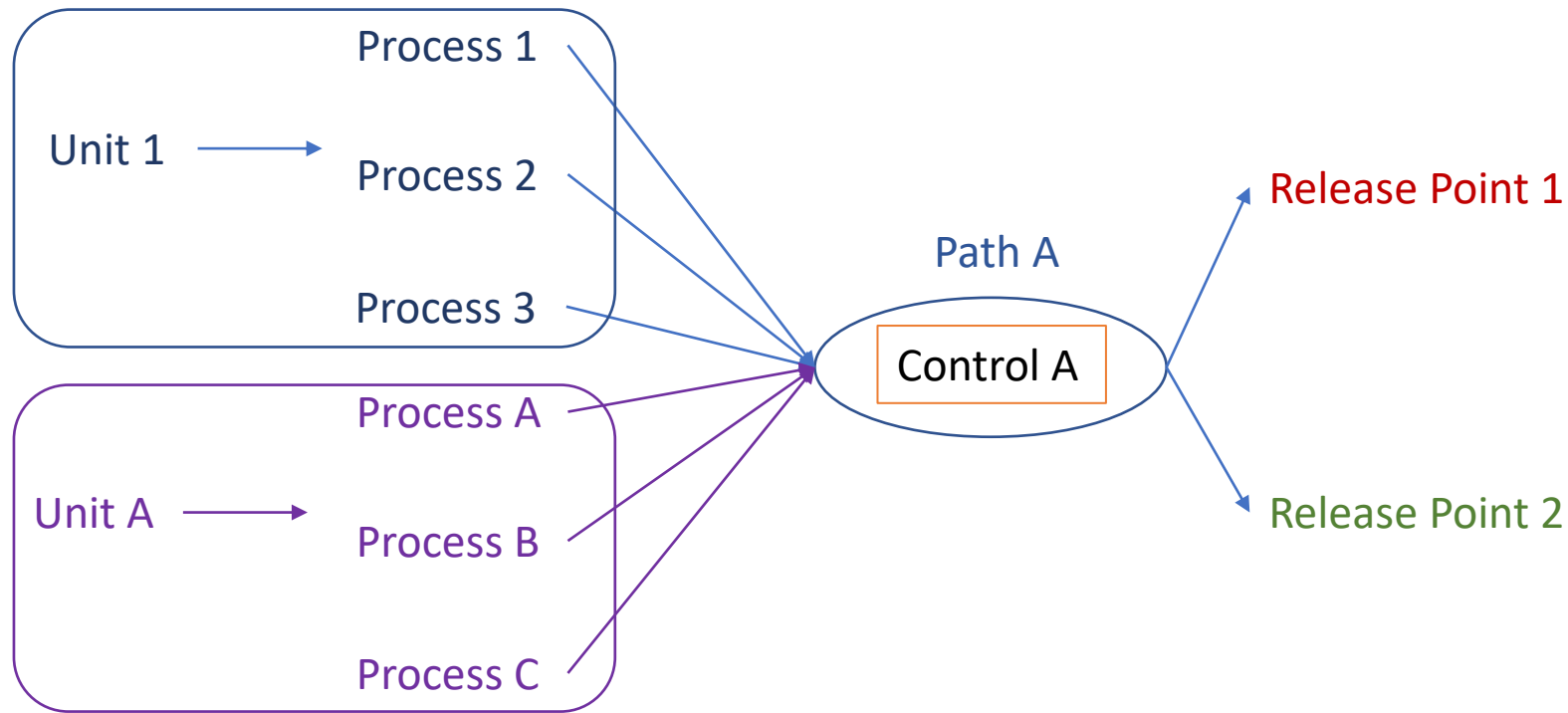
# Multiple “Single Controls”



The case of multiple controls that are “single” controls between a unit/processes and release point is the same as for a single control. One path is created for each control and each path associates the unit/process with the respective release point.



# Single Control on Multiple Units/Processes/Release Points

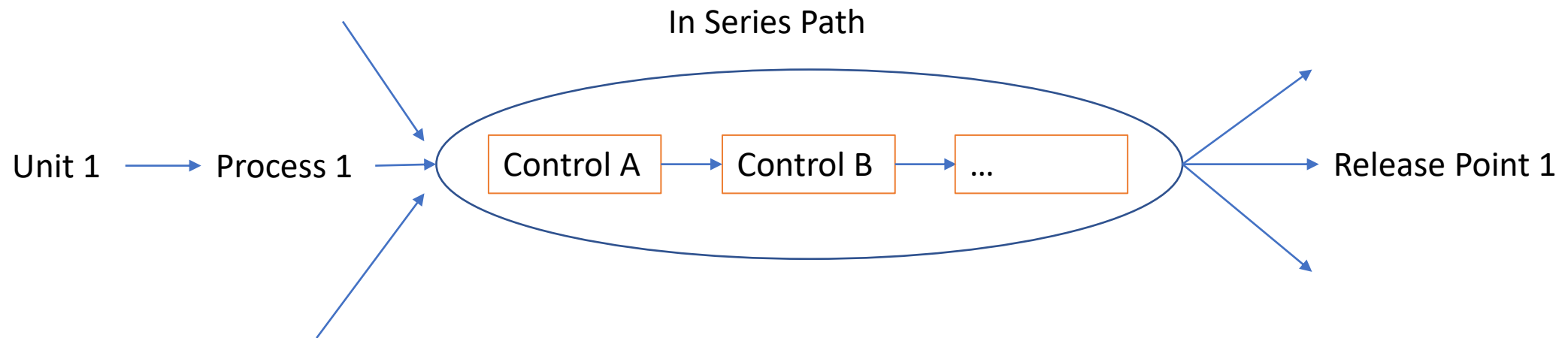


A path can be shared by units/processes if they all direct their emission through the control(s) in that path and also “share” release points

Old Approach method would have required creation of one approach per unit/process/release point combination (6 approaches). New path method “reuses” Path A.

# Multiple Controls – In Series

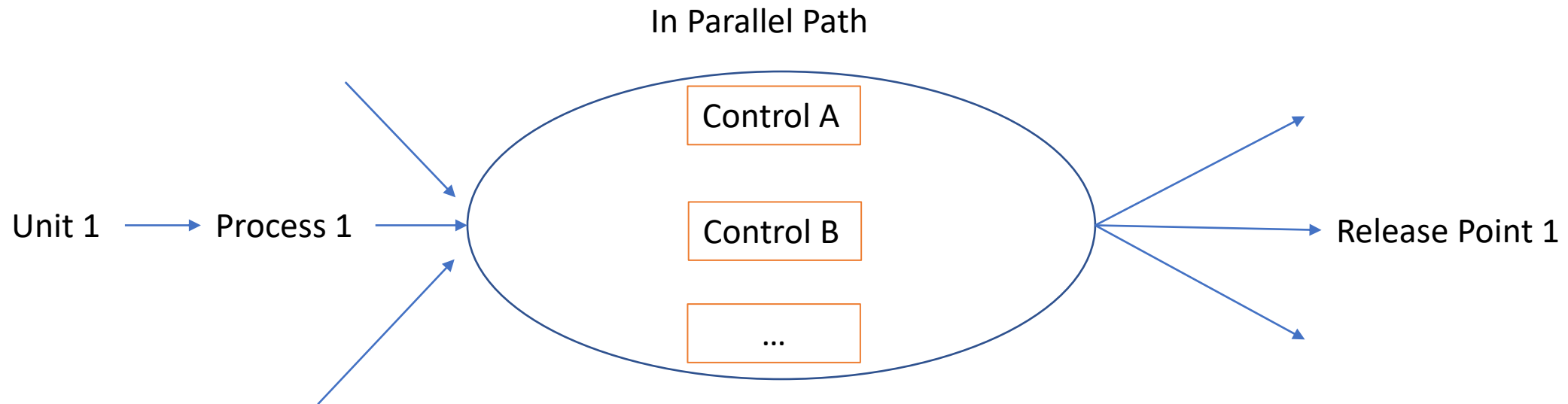
Multiple controls in series can be placed in on path and that path can be reused if it is the main path, as in the previous slide. Instead of a single control, now we have several in series.



**Need:** pollutant reduction efficiency, effectiveness of control, release point apportionment, *path assignment* (position of control in the sequence; control A=1, Control B=2,...), control apportionment = 100%.

# Multiple Controls – In Parallel

Multiple controls in parallel can be placed in on path and that path can be reused if it is the main path, so long as it associates units/processes with the same release point(s).



Need: pollutant reduction efficiency, effectiveness of control, release point apportionment (capture efficiency), path assignment (position of control in the sequence, if parallel, all controls have the same sequence number), control apportionment (e.g. 30% per control).

# Additional Definitions

- A **Path Assignment** defines the order in which controls are configured, each control or child path is given a sequence number:
  - Increasing “sequence number” if in sequence
  - The same “sequence number” if in parallel
- Ultimately, there will be a parent or “master” path that will define the controls that are encountered from the emissions generation point (unit/process) to the release point.
  - Movement of emissions from one control to the next will be tracked via the **Control Apportionment**
  - Capture of the emissions will be tracked via a **Release Point Apportionment**

# Additional Definitions

- **Control Apportionment:**

- % of the emissions that are coming from a previous control or path
- 100% of the emissions are tracked, a control apportionment percentage < 100% for a control means that some emission are also being routed to another control device or path.

- **Release Point Apportionment:**

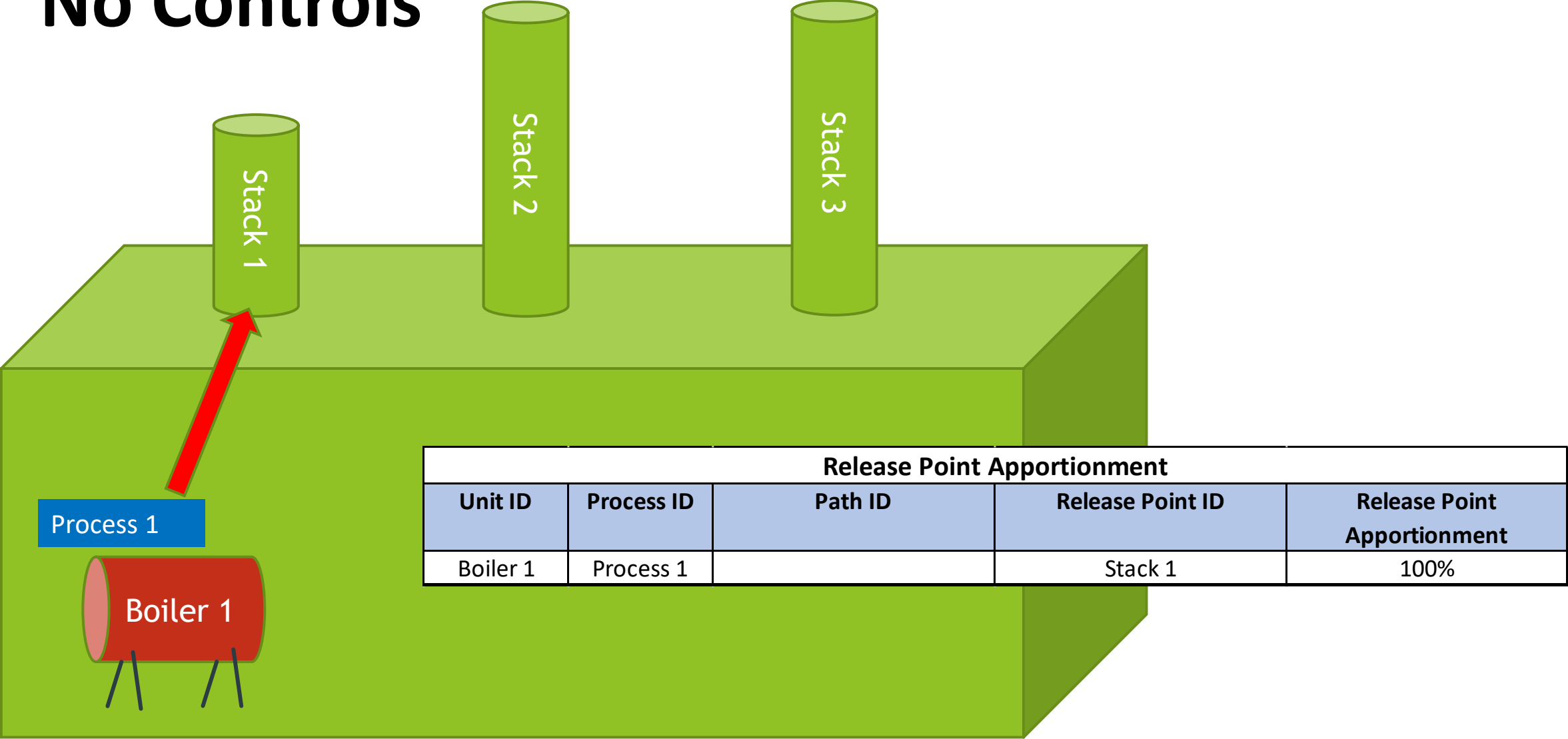
- Emissions generated at the unit/process must be accounted for in terms of where they ended up being released:
  - Different types of release points
    - Stack
    - Fugitive
  - 100% of the original emissions must be assigned to one or more release points

# Examples & How to Enter Data

# General Steps

- Enter control data (pollutant and % pollutant reduction efficiency)
- Place the control into a path (assignment or sequence #, control apportionment)
  - Control into path
  - Child path into parent path
  - Controls and/or children paths into a main path
- Apportion emissions from the process to the release point

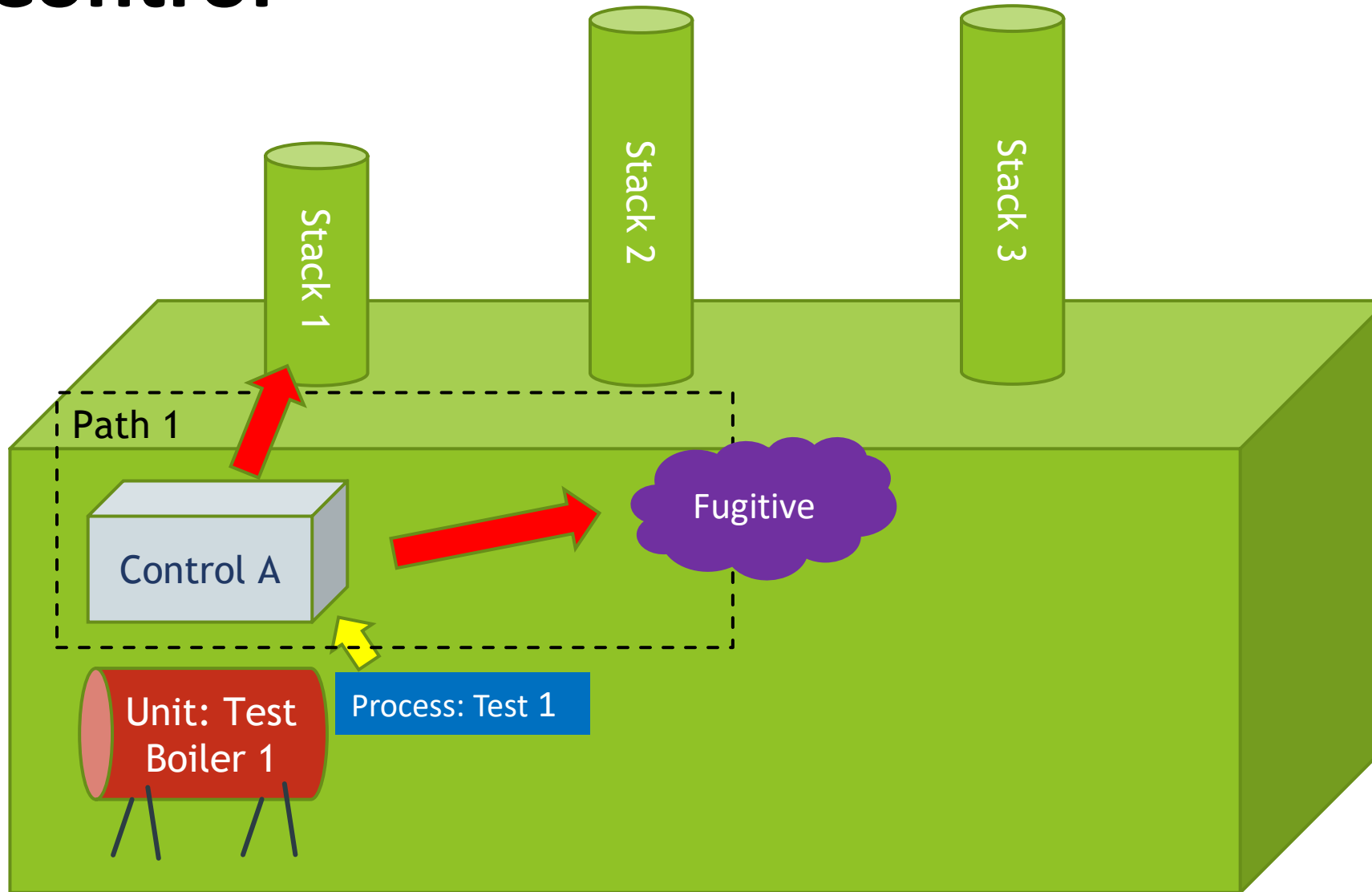
# No Controls



No path needs to be created. You would note a percentage going to stack and a percentage going to fugitives if some of the emissions from the process are fugitive.



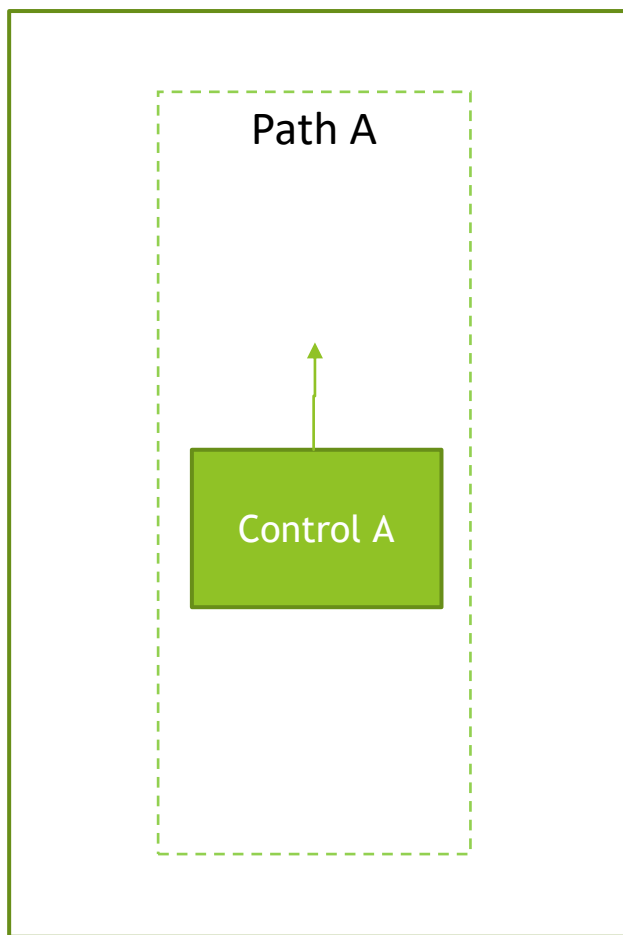
# One Control



# Numerical Example for One Control

## Old Approach Method

Unit ID	Process ID	Control Applicability Level	Control Description	% Capture	% Effectiveness	Control Comment	Pollutant	% Reduction Efficiency
Test Boiler 1	Test 1	PROCESS	Control A	85%	97%	Control approach	NOX	90%



## New Path Method

Control ID	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control A	97%	NOX	90%	74%

### Path Data

Path ID	Sequence Number	Assignment (Control or Path)	Apportionment (Control or Path)
Path A	1	Control A	100%

### Release Point Data

Unit ID	Process ID	Path ID	Release Point ID	Release Point Apportionment
Unit 1	Process 1	Path 1	Stack 1	85%
			Fugitive	15%

E.g. Overall% Reduction = apportioned to stack \* effectiveness \* efficiency = 85% \* 97% \* 90% = 74.21%

# One Control in User Interface

# Add New Control in Controls Devices List

Agency ID: 12345678  
FACILITY INC  
123 Main Street  
Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Dates

Control Devices

Control Pairs

▼Emissions Inventory

Boiler 1

Boiler 2

Coal Furnace

Spray Booth A

Test

Test B Boiler

Test Boiler 1

Test Boiler C

Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

Control Device Information

Control ID:

Control A

Control Measure:

Selective Non-catalytic Reduction (SNCR)

Control Description:

SNCR For Test Boiler 1 processes

Control Number Operating Months:

12

Control Start Date:

2018-12-01

Control End Date:

yyyy-mm-dd

Control Upgrade Description:

Comments:

Operating Status:

Operating

Operating Status Year:

2020

Percent Control Effectiveness:

Control Upgrade Date:

yyyy-mm-dd

Cancel

Save

Note: Operating Status Year in UI and Bulk Upload starting next Monday

# See New Control in List of Controls

**Agency ID:12345678**  
**FACILITY INC**  
123 Main Street  
Mytown, GA 12345

[Report Summary](#)  
[Report History](#)  
[Quality Checks](#)  
[Data Bulk Entry](#)  

▼[Facility Inventory](#)

[Facility Information](#)  
[Emissions Units](#)  
[Release Points](#)  
[Control Devices](#) ◀  
[Control Paths](#)

▼[Emissions Inventory](#)

[Boiler 1](#)  
[Boiler 2](#)  
[Coal Furnace](#)  
[Spray Booth A](#)  
[Test](#)  
[Test B Boiler](#)  
[Test Boiler 1](#)  
[Test Boiler C](#)  
[Turbine 1](#)

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

Control Devices

Control ID	Control Description	Operating Status	
Control 1	test control 1	Operating	🗑
Control 2	test control 2	Operating	🗑
Control 3	test control 3	Operating	🗑
Control 4	test control 4	Operating	🗑
Control 5	test control 5	Operating	🗑
Control A	SNCR For Test Boiler 1 processes	Operating	🗑
			+

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# Select Control and Add Data

FACILITY INC  
123 Main Street  
Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

►Boiler 1

►Boiler 2

Coal Furnace

Spray Booth A

Test

►Test B Boiler

►Test Boiler 1

►Test Boiler C

►Turbine 1

Control ID:

Control Measure:

Control Description:

Control Number Oper Months:

Control Start Date:

Control Upgrade Description:

Comments:

Identifier

Paths Associated with this Control

Path Identifier

Path Description

Control Device Information

Edit

Pollutant:

Nitrogen Oxides - NOX

Percent Reduction Efficiency:

90

Control End Date:

Control Device Pollutants

CAS ID

% Reduction Efficiency

+

Save

Cancel

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# Create Path for Control in Path List

Agency ID:12345678  
FACILITY INC  
123 Main Street  
Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

Boiler 1

Boiler 2

Coal Furnace

Spray Booth A

Test

Test B Boiler

Test Boiler 1

Test Boiler C

Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

Control Path Information

Path ID:

Path A

Percent Control Effectiveness:

97

Path Description:

Test Boiler 1 Test 1

Cancel

Save

Note: QA check being implemented, if you submit your report before it is, you should check your PM numbers. PM 10 and 2.5: Error if Percent Reduction Efficiency of PM10 on a control or path is less than PM2.5 on the same control/path.



# See New Path in List of Paths

Agency ID:12345678

FACILITY INC

123 Main Street

Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths ◀

▼Emissions Inventory

▶ Boiler 1

▶ Boiler 2

Coal Furnace

Spray Booth A

Test

▶ Test B Boiler

▶ Test Boiler 1

▶ Test Boiler C

▶ Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

Control Paths

Path Id	Path Description	
Path 1	test path 1	🗑
Path 2	test path 2	🗑
Path 3	test path 3	🗑
Path 4	test path 4	🗑
Path 5	test path 5	🗑
Path A	Test Boiler 1 Test 1	🗑
		+

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# Select Path and Add Data

Agency ID:12345678  
FACILITY INC  
123 Main Street  
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Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

►Boiler 1

►Boiler 2

Coal Furnace

Spray Booth A

Test

►Test B Boiler

►Test Boiler 1

►Test Boiler C

►Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

Control Path Information

Edit

Path ID:

Path A

Percent Control Effectiveness:

97

Path Description:

Test Boiler 1 Test 1

Control Path Assignment

Sequence Number	Assignment	% Apportionment		
				+

Control Path Pollutants

Pollutant Name	Code	CAS ID	% Reduction Efficiency		
					+

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# Control Path Assignment Data

Agency ID:12345678  
FACILITY INC  
123 Main Street  
Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

▶Boiler 1

▶Boiler 2

Coal Furnace

Spray Booth A

Test

▶Test B Boiler

▶Test Boiler 1

▶Test Boiler C

▶Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

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Path ID:

Path Description:

Sequence Number

Control Path Assignment

Enter the Sequence Number1

You must select either a Control or a Control Path:

ControlControl A

Control Path

Enter the Apportionment Percentage100

SaveCancel

Edit

Control Path Pollutants

CAS ID	% Reduction Efficiency

# Control Path Pollutants

[My Facilities](#) > [Emissions Reports](#) > 2020 Emissions Report [Help](#)

**Agency ID:12345678**  
**FACILITY INC**  
123 Main Street  
Mytown, GA 12345

[Report Summary](#)  
[Report History](#)  
[Quality Checks](#)  
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**▼Facility Inventory**  
Facility Information  
Emissions Units  
Release Points  
Control Devices  
Control Paths  
**▼Emissions Inventory**  
▶ Boiler 1  
▶ Boiler 2  
Coal Furnace  
Spray Booth A  
Test  
▶ Test B Boiler  
▶ Test Boiler 1  
▶ Test Boiler C

Report Facility & Emissions InformationPerform Quality ChecksSubmit to SLT AuthorityApproved by SLT Authority

Control Path Information

Path ID:

Path A

Percent Control Effectiveness:

97

Path Description:

Test Boiler 1 Test 1

Edit

Control Path Assignment

Sequence Number	Assignment	% Apportionment		
1	Control A	100		

Control Path Pollutants

Pollutant Name	Code	CAS ID	% Reduction Efficiency		
Nitrogen Oxides	NOX		90		

## Path % Reduction Efficiency

- For one control, or several controls for different pollutants each, the path pollutant control efficiency is the same as for each of those controls, but,
- If there is more than one control for a given pollutant, then that becomes an estimate of efficiency of pollutant removal of all those controls, for that specific pollutant.

# Select the Process for the Control

▶ Boiler 1

▶ Boiler 2

Coal Furnace

Spray Booth A

Test

▶ Test B Boiler

▶ Test Boiler 1

▶ Test Boiler C

▶ Turbine 1

Avg. Hours per Day:8

Avg. Weeks per Year:50

Winter Operating Percent:25

Spring Operating Percent:25

Summer Operating Percent:25

Fall Operating Percent:25

Reporting Period

Edit

Reporting Period:Annual

Throughput Material:Anthracite

Fuel Material:Anthracite

Operating Type:Routine

Throughput Value:100

Fuel Value:100

Heat Content Ratio:25.09

Throughput Parameter:Input

Throughput UoM:TONS

Fuel UoM:TONS

Heat Content Ratio Numerator:MILLION BTUS

Comments:for demo

Emissions Associated with this Process

Pollutant Name	Code	CAS ID	
Nitrogen Oxides	NOX		

Release Points Associated with this Process

Release Point	Release Type	Control Path	%	
Total % Apportionment of Emissions			0%	

Note: Each process must allocate exactly 100% of its emissions to one or more release points before the report can be submitted.

Controls Associated with this Process

Control	Description	Control Path
---------	-------------	--------------

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# Select Release Point

**Release Point Apportionment**

Select a Release Point

Select a Control Path (optional)

Enter the Emission Percentage

Save Cancel

**Reporting Period**

Reporting Period: Annual  
Throughput Material: Anthracite  
Fuel Material: Anthracite  
Comments: for demo

**Throughput Parameter:** Input  
**Throughput UoM:** TONS  
**Fuel UoM:** TONS  
**Heat Content Ratio:** MILLION BTUS  
**Numerator:**

**Release Points Associated with this Process**

Release Type	Control Path	%
Total % Apportionment of Emissions: 0%		

Note: Each process must allocate exactly 100% of its emissions to one or more release points before the report can be submitted.

**Controls Associated with this Process**

Control	Description	Control Path

**Process Details:**

- Boiler 1
- Boiler 2
- Coal Furnace
- Spray Booth A
- Test
- Test B Boiler
- Test Boiler 1
- Test Boiler C
- Turbine 1

Avg. Hours per Day: 8  
Avg. Weeks per Year: 50  
Winter Operating Percent: 25  
Spring Operating Percent: 25  
Summer Operating Percent: 25  
Fall Operating Percent: 25

Emissions Associated with this Process

Pollutant Name: Nitrogen Oxides  
Description: NOX

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# Associate Process and Release Point

The screenshot displays the EPA MyCDX interface for associating a process with a release point. A modal dialog titled "Release Point Apportionment" is open, allowing the user to select a release point and control path, and enter an emission percentage.

**Release Point Apportionment**

Select a Release Point:

Select a Control Path (optional):

Enter the Emission Percentage:

**Save** **Cancel**

**Background Interface Details:**

**Process Information:**

- Reporting Period: Annual
- Throughput Material: Anthracite
- Fuel Material: Anthracite
- Operating Type: Routine
- Throughput Parameter: Input
- Throughput UoM: TONS
- Fuel UoM: TONS
- Heat Content Ratio: MILLION BTUS
- Numerator:

**Release Points Associated with this Process**

Release Type	Control Path	%		
Vertical	Path A	85%		
Apportionment of Emissions		85%		

**Controls Associated with this Process**

Control	Description	Control Path
Control A	SNCR For Test Boiler 1 processes	Path A

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# See Release Point(s) Linked to Process by Path

Coal Furnace

Spray Booth A

Test

▶ Test B Boiler

▶ Test Boiler 1

▶ Test Boiler C

▶ Turbine 1

Reporting Period

Edit

Reporting Period: Annual

Throughput Material: Anthracite

Fuel Material: Anthracite

Comments: for demo

Operating Type: Routine

Throughput Value: 100

Fuel Value: 100

Heat Content Ratio: 25.09

Throughput Parameter: Input

Throughput UoM: TONS

Fuel UoM: TONS

Heat Content Ratio Numerator: MILLION BTUS

Emissions Associated with this Process

Pollutant Name	Code	CAS ID	
Nitrogen Oxides	NOX		

Release Points Associated with this Process

Release Point	Release Type	Control Path	%		
Fugitive A	Fugitive	Path A	15%		
Stack 1	Vertical	Path A	85%		
Total % Apportionment of Emissions			100%		

Note: Each process must allocate exactly 100% of its emissions to one or more release points before the report can be submitted.

Controls Associated with this Process

Control	Description	Control Path
Control A	SNCR For Test Boiler 1 processes	Path A



# Can Use Post-Control Emission Factor

Agency ID:12345678

FACILITY INC

123 Main Street

Mytown, GA 12345

Report Summary

Report History

Quality Checks

Data Bulk Entry

▼ Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼ Emissions Inventory

► Boiler 1

► Boiler 2

Coal Furnace

Spray Booth A

Test

► Test B Boiler

► Test Boiler 1

► Test Boiler C

► Turbine 1

Report Facility & Emissions Information

Perform Quality Checks

Submit to SLT Authority

Approved by SLT Authority

## Process Information

Unit ID:	Test Boiler 1	Reporting Period:	Annual	Operating Status:	Operating
Process ID:	Test 1	Throughput Value:	100	Throughput UoM:	TONS
Throughput Material:	Anthracite	Fuel Value:	100	Fuel UoM:	TONS
Throughput Parameter:	Input	Heat Content Ratio:	25.09	Heat Content Ratio Numerator:	MILLION BTUS
Fuel Material:	Anthracite				

## Emission Information

❓ Pollutant:	Nitrogen Oxides - NOX	❓ Pollutant Code:	NOX
❓ Pollutant Name:	Nitrogen Oxides	❓ CAS ID:	
❓ Calculation Method:	Vendor Emission Factor (pre-control) plus Control Efficiency		
❓ Emission Factor:	3	❓ Emission Factor Description:	AP42 Text: Section...
❓ Emission Factor Numerator UoM:	LB	❓ Emission Factor Denominator UoM:	TON
❓ Overall Control %:		❓ Emissions UoM:	LB
❓ Total Emissions:	300	<input type="checkbox"/> I prefer to calculate the total emissions of this pollutant.	
Comments:			

Calculate Emissions

Cancel

Save

# Can Use Pre-Control Emission Factor

Quality Checks

Data Bulk Entry

▼Facility Inventory

Facility Information

Emissions Units

Release Points

Control Devices

Control Paths

▼Emissions Inventory

▶Boiler 1

▶Boiler 2

Coal Furnace

Spray Booth A

Test

▶Test B Boiler

▶Test Boiler 1

▶Test Boiler C

▶Turbine 1

Throughput Material:	Anthracite	Throughput Value:	100	Throughput UoM:	TONS
Throughput Parameter:	Input				
Fuel Material:	Anthracite	Fuel Value:	100	Fuel UoM:	TONS
		Heat Content Ratio:	25.09	Heat Content Ratio Numerator:	MILLION BTUS

Emission Information

❓ Pollutant:

Nitrogen Oxides - NOX

❓ Pollutant Code:

NOX

❓ Pollutant Name:

Nitrogen Oxides

❓ CAS ID:

❓ Calculation Method:

USEPA Emission Factor (no Control Efficiency used)

Search for Emission Factor

❓ Emission Factor:

3

❓ Emission Factor Description:

This factor was present in AIRS Facility Subsystem Source Classification Codes

❓ Emission Factor Numerator UoM:

LB

❓ Emission Factor Denominator UoM:

TON

❓ Overall Control %:

74

❓ Emissions UoM:

LB

❓ Total Emissions:

78

☐ I prefer to calculate the total emissions of this pollutant.

Comments:

Calculate Emissions

Cancel

Save

# One Control in Bulk Upload

# Enter Data in Control Devices Tab

4	paths.							
5	olds that should not be changed if they existed in a previous submission.							
6	s year should not be deleted, but instead, the user should change their operating status to "Permanently Shutdown".							
7								
8								
9	Description of the control equipment.	Estimated percent of the reporting period's activity for which the overall control system or approach (including both capture and control measures) were operating as designed (regardless of whether the control measure is due to rule or voluntary).	Drop down. Code that identifies the operating status of the control measure.	Year the current operating status came into effect.	Drop down. Control measure code.	The number of months per year the control operates.	Day the control was made effective.	The date on which the control was most recently upgraded.
10	Control Description*	Percent Control Effectiveness	Operating Status*	Operating Status Year	Control Measure*	Control Number Operating Months	Control Start Date	Control Upgrade Date
14	Acetaldehyde and Benzene Control	50	Operating	1985	Wet Scrubber - High Efficiency	12	2019-10-31	
15	Acetaldehyde Control	75	Operating	1985	Wet Scrubber - Medium Efficiency			
16	NOX Capture Device	75	Operating	1985	Gas Scrubber (General, Not Classified)			
24	test control 1	25	Operating	2000	Afterburner			
25	test control 2	25	Operating	2000	Adsorption - Activated Carbon or other			
26	test control 3	25	Operating	2000	Air Injection			
27	test control 4	25	Operating	2000	Internal Floating Roof			
28	test control 5	25	Operating	2000	Screen			
29	SNCR For Test Boiler 1 processes		Operating	2020	Selective Non-catalytic Reduction (SNCR)	12	2018-12-01	
30								
31								
32								
33								
34								
35								
36								
37								
38								
39								
40								

Instructions

Facility

Facility Contacts

NAICS

Release Points

Emission Units

Emission Processes

Control Devices

Control Paths

Control Assignments

Control Device Pollutants

...

+

-

Display Settings

100%

Average: 445 Count: 11 Sum: 2225

# Enter Data in the Control Paths Tab

<p>4 Ensure that data copied into cells is in the correct format and is devoid of spaces, quotation marks and other characters.</p> <p>5 Note there are hidden columns in this worksheet. Please do not alter hidden columns, as these are important for data validations.</p> <p>6 You should enter all control path information before assigning them to other paths or associating them with processes and release points.</p> <p>7</p> <p>8 Tab: Control Paths</p>			
Instruction:	Identifier of the control path, given by the facility.	Description of the control path.	Estimated percent of the reporting period's activity for which the overall control system or approach (including both capture and control measures) were operating as designed (regardless of whether the control measure is due to rule or voluntary).
Field	Path ID*	Path Description*	Percent Control Effectiveness
example entry	Primary	Primary Control Flow	50
	Secondary	Secondary Control Flow	75
	Path 1	test path 1	2
	Path 2	test path 2	3
	Path 3	test path 3	4
	Path 4	test path 4	5
	Path 5	test path 5	6
	Path A	Test Boiler 1 Test 1	97

# Enter Data in the Control Assignments Tab

<p>1</p> <p>2 Enter all information marked *. Where a drop-down menu exists, select from the list of options in each menu. All field formats are "General" except where specified.</p> <p>3 Ensure that data copied into cells is in the correct format and is devoid of spaces, quotation marks and other characters. Note there are hidden columns in this worksheet.</p> <p>4 Please do not alter hidden columns, as these are important for data validations.</p> <p>5 Create all controls and control paths before assigning them. To each control path in column D, assign either a control or a path, but not both in the same row.</p> <p>6</p> <p>7</p> <p>8 <b>Tab: Control Assignments</b></p>					
Instruction:	Drop down. Select the name (ID) of the control path.	Drop down. Control assigned to the path in D.	Drop down. Child path assigned to the path in D.	The number in the sequence the control or path occupies within a path.	The percentage of emissions from the previous control or path in the sequence, that is directed to this control or path.
Field	Path ID*	Control ID	Control Path (Child)	Sequence Number*	Percent Apportionment*
example entry	Primary	NOX Control		1	75
	Primary		Secondary	1	25
	Secondary	Control 001		1	100
	Secondary	Control 002		2	100
	Path 1	Control 1		1	50
	Path 1		Path 2	1	50
	Path 2	Control 2		1	50
	Path 2		Path 3	1	50
	Path 3	Control 3		1	50
	Path 3		Path 4	1	50
	Path 4	Control 4		1	50
	Path 4		Path 5	1	50
	Path 5	Control 5		1	100
	Path A	Control A		1	100

Instructions Facility Facility Contacts NAICS Release Points Emission Units Emission Processes Control Devices Control Paths **Control Assignments** Control Device Pollutants ...

Average: 38.4 Count: 8 Sum: 192 Display Settings

# Enter Data in the Control Device Pollutant Tab

3	All field formats are "General" except where specified.			
4	Ensure that data copied into cells is in the correct format and is devoid of spaces, quotation marks and other characters.			
5	Note there are hidden columns in this worksheet. Please do not alter hidden columns, as these are important for data validations.			
6	Create controls before assigning them pollutants.			
7				
8	Tab: Control Device Pollutants			
9	Instruction:	Drop down. Control ID for the equipment that is controlling the pollutant.	Drop down. Pollutant the equipment controls.	Efficiency with which the control removes the pollutant.
10	Field	Control ID*	Pollutant Name*	Percent Reduction Efficiency
14	example entry	Control 001	Acetaldehyde	99.9
15		Control 002	Benzene	99.9
16		Control 001	Acetaldehyde	5.3
17		NOX Control	Nitrogen Oxides	5.3
24		Control 1	1,1,2,2-Tetrachloroethane	25
25		Control 2	Carbon Dioxide	99.9
26		Control 3	Carbon Monoxide	98.999
27		Control 4	Nitrogen Oxides	5
28		Control 5	Diethylene Glycol Monovinyl Ether	99.899
29		Control A	Nitrogen Oxides	90
30				
31				
32				
33				
34				
35				
36				
37				
38				
39				
40				
41				

InstructionsFacilityFacility ContactsNAICSRelease PointsEmission UnitsEmission ProcessesControl DevicesControl PathsControl AssignmentsControl Device Pollutants...+:-<

Average: 49.33333333Count: 6Sum: 148Display Settings

# Enter All Pollutants if More than One

	A	D	F	G
3	All field formats are "General" except where specified.			
4	Ensure that data copied into cells is in the correct format and is devoid of spaces, quotation marks and other characters.			
5	Note there are hidden columns in this worksheet. Please do not alter hidden columns, as these are important for data validations.			
6	Create controls before assigning them pollutants.			
7				
8	Tab: Control Device Pollutants			
9	Instruction:	Drop down. Control ID for the equipment that is controlling the pollutant.	Drop down. Pollutant the equipment controls.	Efficiency with which the control removes the pollutant.
10	Field	Control ID*	Pollutant Name*	Percent Reduction Efficiency
14	example entry	Control 001	Acetaldehyde	99.9
15		Control 002	Benzene	99.9
16		Control 001	Acetaldehyde	5.3
17		NOX Control	Nitrogen Oxides	5.3
24		Control 1	1,1,2,2-Tetrachloroethane	25
25		Control 2	Carbon Dioxide	99.9
26		Control 3	Carbon Monoxide	98.999
27		Control 4	Nitrogen Oxides	5
28		Control 5	Diethylene Glycol Monovinyl Ether	99.899
29		Control A	Nitrogen Oxides	90
30		Control A	Toluene	30
31				
32				
33				
34				
35				
36				
37				
38				
39				
40				
41				
42				

Facility ContactsNAICSRelease PointsEmission UnitsEmission ProcessesControl DevicesControl PathsControl AssignmentsControl Device PollutantsControl Pat...

ReadyAverage: 15588.57143Count: 12Sum: 109120Display Settings100%



# Enter Data in the Apportionment Tab

	A	D	F	H	I
18		Smokestack 2	Disposal Process		40
19		Vent 1	Storage Process		10
20		Smokestack 1	Storage Process		20
21		Smokestack 2	Storage Process		70
24		Coal Handler	Turbine 1-1		50
25		Fugitive A	Test Boiler 1-Test 1	Path A	15
26		Scrubber 1	Turbine 1-1		50
27		Stack 1	Boiler 2-Different 3	Path 1	100
28		Stack 1	Test Boiler C-Test C process 1		100
29		Stack 1	Boiler 2-1	Path 1	100
30		Stack 1	Boiler 2-Duplicate 2	Path 1	100
31		Stack 1	Test Boiler 1-Test 1	Path A	85
32		Stack 1	Test B Boiler-Test B process	Path A	100
33		Stack 2	Boiler 1-Different 2	Path 2	100
34		Stack 2	Boiler 1-Duplicate 1	Path 2	100
35		Stack 2	Boiler 1-1	Path 2	100
36		Stack 2	Boiler 1-Different 1	Path 2	100
37					
38					
39					
40					
41					
42					
43					

Control Path Pollutants
Apportionment
Reporting Period
Operating Details
Emissions
Emission Formula Variables
Worksheet Map
AircraftEngineTypeCode
CalculationMaterialCode
...
Average: 33 Count: 16 Sum: 330
Display Settings

# Ensure Process Lists Pollutants

	A	C	E	F	G	H	I	J	K
36		Boiler 2-1-Annual	PM2.5 Filterable	false	190 LB				1.9 (AP42 Text: Section 1.4) EPA. March, 1998. Section
37		Boiler 2-1-Annual	Sulfur Dioxide	false	60 LB				0.6 (AP42 Text: Section 1.4) EPA. March, 1998. Section
38		Boiler 2-1-Annual	Volatile Organic Compounds	false	550 LB				5.5 (AP42 Text: Section 1.4) EPA. March, 1998. Section
39		Boiler 2-Different 3-Annua	Carbon Monoxide	false	100 TON		50		
40		Boiler 2-Duplicate 2-Annua	Carbon Dioxide	false	100 TON		50		
41		Test B Boiler-Test B proces	Nitrogen Oxides	false	0.033 LB				0.33 Acme company boiler emission factor
42		Test Boiler C-Test C proces	Nitrogen Oxides	false	300 LB		0		3 This factor was present in AIRS Facility Subsystem
43		Turbine 1-1-Annual	Arsenic	true	52 LB				0.00041 EPA. September, 1998. Section 1.1, Bituminous ar
44		Turbine 1-1-Annual	Carbon Monoxide	false	3.85969E-05 TON				0.003 (AP42 Text: Section 1.3) EPA. September, 1998. Se
45		Turbine 1-1-Annual	Diethylene Glycol Dinitrate	false	4.545 TON				
46		Turbine 1-1-Annual	PM10 Filterable	true	200 LB				(AP42 Text: Section 1.1) EPA. September, 1998. Se
47		Turbine 1-1-Annual	PM10 Primary (Filt + Cond)	true	250 TON				0.012 (AP42 Text: Section 1.3) EPA. September, 1998. Se
48		Turbine 1-1-Annual	PM2.5 Primary (Filt + Cond)	false	0.000154387 TON				0.012 (AP42 Text: Section 1.3) EPA. September, 1998. Se
49		Turbine 1-1-Annual	Selenium	true	0.13 LB				0.0013 (AP42 Text: Section 1.1) EPA. September, 1998. Se
50		Turbine 1-1-Annual	Sulfur Dioxide	false	9.5 TON		0		
51		Turbine 1-1-Annual	Volatile Organic Compounds	true	140 LB				12 (AP42 Text: Section 1.1)
52		Test Boiler 1-Test 1-Annual	Nitrogen Oxides	false	300 LB				3 AP42 Text: Section...
53		Test Boiler 1-Test 1-Annual	Toluene	false	10 LB				
54									
55									
56									
57									
58									
59									
60									
61									
62									
63									
64									
65									
66									
67									
68									
69									
70									
71									
72									
73									

Enter emissions or allow CAERS to calculate/recalculate for you if you have an emission factor, e.g.

# List Overall % if Applicable

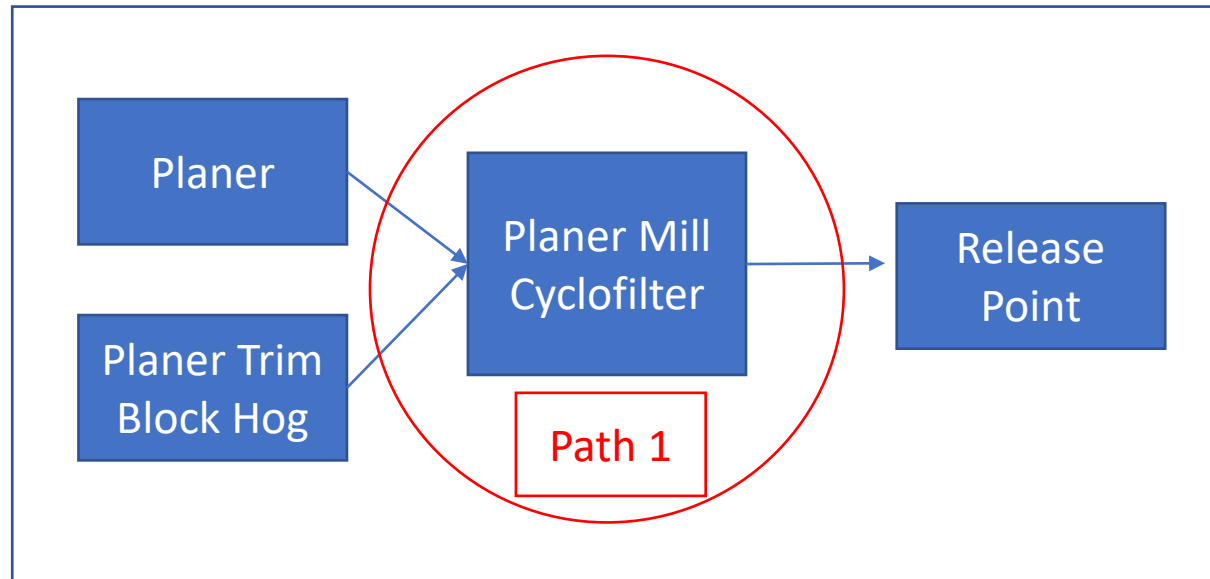
	A	C	E	F	G	H	I	J	K
30		Boiler 1-Different 1-Annual	Benzene	false	100 TON		50		
31		Boiler 1-Different 2-Annual	Carbon Monoxide	false	1 TON				
32		Boiler 1-Duplicate 1-Annual	1,1,2,2-Tetrahydroperfluoro-1-octadecanol	false	100 TON		50		
33		Boiler 2-1-Annual	Carbon Monoxide	false	8400 LB			84	(AP42 Text: Section 1.4) EPA. March, 1998. Section
34		Boiler 2-1-Annual	Nitrogen Oxides	false	28000 LB			280	(AP42 Text: Section 1.4) EPA. March, 1998. Section
35		Boiler 2-1-Annual	PM10 Filterable	false	190 LB			1.9	(AP42 Text: Section 1.4) EPA. March, 1998. Section
36		Boiler 2-1-Annual	PM2.5 Filterable	false	190 LB			1.9	(AP42 Text: Section 1.4) EPA. March, 1998. Section
37		Boiler 2-1-Annual	Sulfur Dioxide	false	60 LB			0.6	(AP42 Text: Section 1.4) EPA. March, 1998. Section
38		Boiler 2-1-Annual	Volatile Organic Compounds	false	550 LB			5.5	(AP42 Text: Section 1.4) EPA. March, 1998. Section
39		Boiler 2-Different 3-Annual	Carbon Monoxide	false	100 TON		50		
40		Boiler 2-Duplicate 2-Annual	Carbon Dioxide	false	100 TON		50		
41		Test B Boiler-Test B process	Nitrogen Oxides	false	0.033 LB			0.33	Acme company boiler emission factor
42		Test Boiler C-Test C process	Nitrogen Oxides	false	300 LB		0	3	This factor was present in AIRS Facility Subsystem
43		Turbine 1-1-Annual	Arsenic	true	52 LB			0.00041	EPA. September, 1998. Section 1.1, Bituminous ar
44		Turbine 1-1-Annual	Carbon Monoxide	false	3.85969E-05 TON			0.003	(AP42 Text: Section 1.3) EPA. September, 1998. Se
45		Turbine 1-1-Annual	Diethylene Glycol Dinitrate	false	4.545 TON				
46		Turbine 1-1-Annual	PM10 Filterable	true	200 LB				(AP42 Text: Section 1.1) EPA. September, 1998. Se
47		Turbine 1-1-Annual	PM10 Primary (Filt + Cond)	true	250 TON			0.012	(AP42 Text: Section 1.3) EPA. September, 1998. Se
48		Turbine 1-1-Annual	PM2.5 Primary (Filt + Cond)	false	0.000154387 TON			0.012	(AP42 Text: Section 1.3) EPA. September, 1998. Se
49		Turbine 1-1-Annual	Selenium	true	0.13 LB			0.0013	(AP42 Text: Section 1.1) EPA. September, 1998. Se
50		Turbine 1-1-Annual	Sulfur Dioxide	false	9.5 TON		0		
51		Turbine 1-1-Annual	Volatile Organic Compounds	true	140 LB				(AP42 Text: Section 1.1)
52		Test Boiler 1-Test 1-Annual	Nitrogen Oxides	false	300 LB		74	3	AP42 Text: Section...
53		Test Boiler 1-Test 1-Annual	Toluene	false	10 LB		25		
54									
55									
56									
57									
58									
59									
60									
61									
62									
63									
64									
65									
66									
67									

Enter overall % here and emissions, or recalculate in User Interface.

# Considerations to Keep in Mind

- Please don't re-label or delete controls that existed in a previous year report, instead, mark them as "Permanently Shut Down" or your inventory in EPA will be out of sync. Seeking input on when/how/why facilities relabel components, send to [caer@epa.gov](mailto:caer@epa.gov).
- You could enter your control data in UI to help guide you, then download in BU template to continue the rest of your report and viceversa.
- If you enter a control device you must also enter its: pollutant reduction efficiency, effectiveness, release point apportionment, and path assignment

# Real Example 1



In this example we have two processes sending emissions into the Planer Mill Cyclofilter. Path 1 can be the main path for the single control and can be used for both processes.

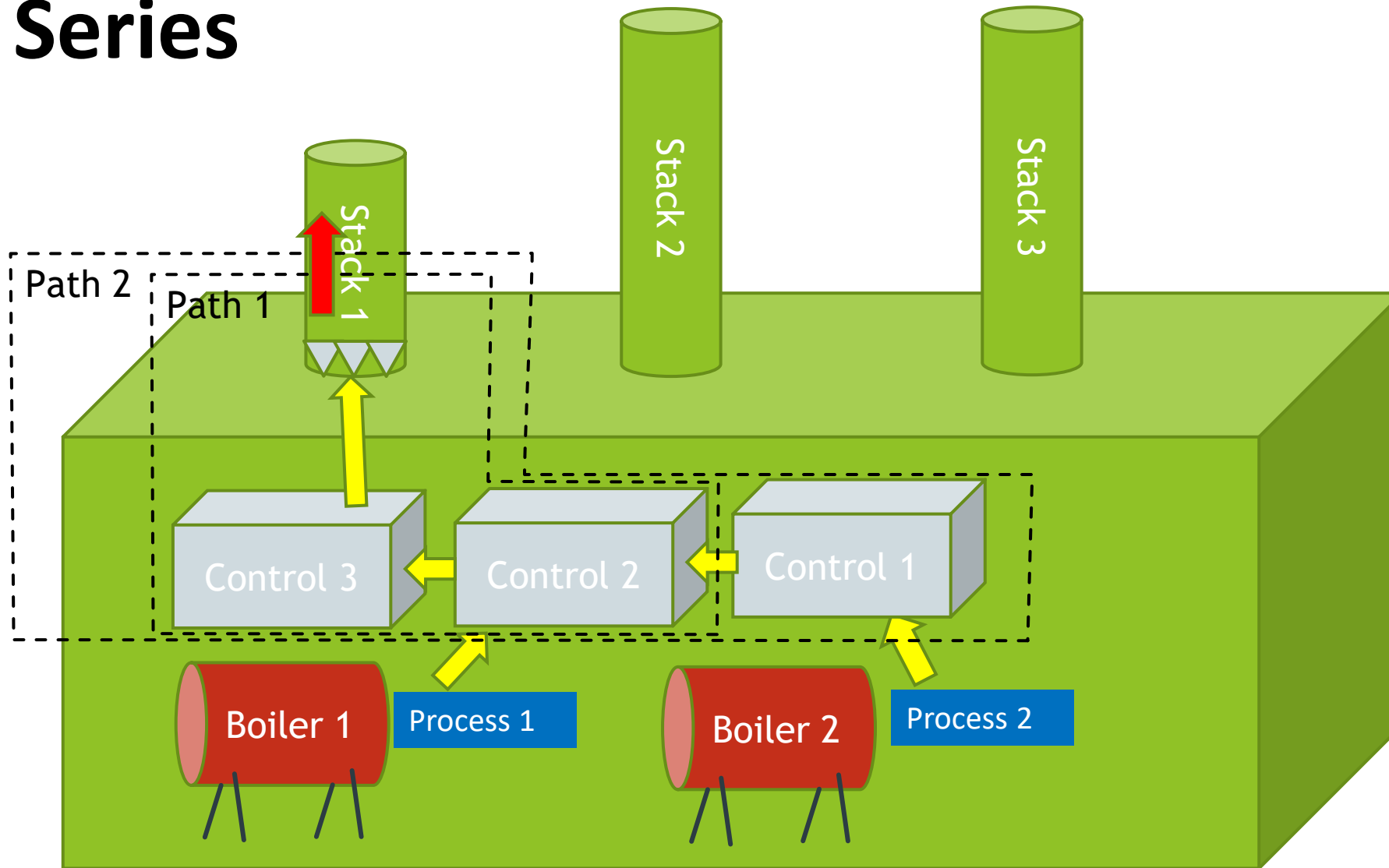
Path Data			
Path ID	Sequence Number	Control or Child Path Assignment	Assigned Control or Child Path Apportionment
Path 1	1	Planer Mill Cyclofilter	100%

Release Point Data				
Unit ID	Process ID	Path ID	Release Point ID	Release Point Apportionment
Planer	Process 1	Path 1	Stack 1	100%
Planer Trim Block Hog	Process 2	Path 1	Stack 1	100%

# One Control Device Q&A

# More than One Control

# In Series



Controls 1, 2, 3, and 4 are set up in sequence. Boiler and Process 2 send emissions to control 1. Boiler and process 1 send emissions to control 2. Path 1 is the main path between Boiler and Process 1 to Stack 1. Path 2 is the main path between boiler and process 2 and stack 1. Path 1 is a “child” path of Path 2. Path 2 is a “main” path.

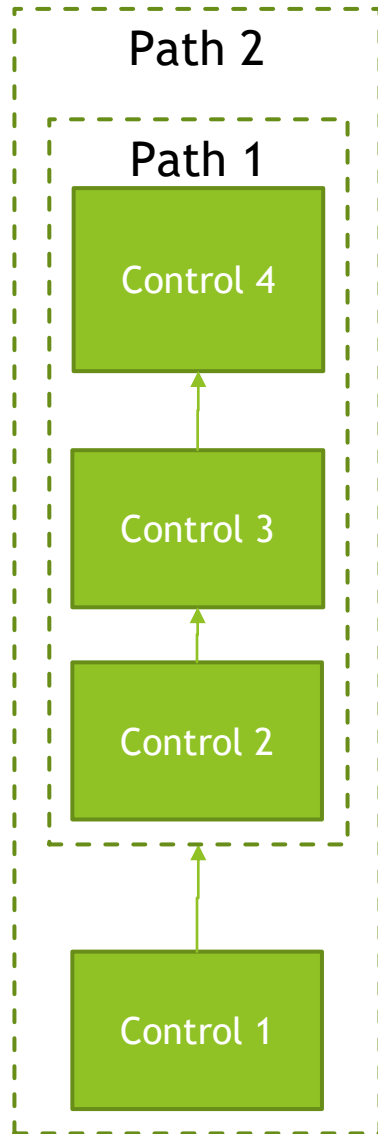


# Numerical Example for Controls in Series

## Old Approach Method

Unit ID	Process ID	Control Applicability Level	Control Measure Description	% Capture	% Effectiveness	Control Comment	Pollutant	% Reduction Efficiency
Boiler 2	Process 2	PROCESS	Control approach name	100%	91%	Control approach	VOC	99
							CO	95
							PM10-PRI	90
							PM-CON	100
							NOX	90
							SO2	90

For Boiler 1 you might have a separate approach similar to this one but excluding Control 1.



## New Path Method

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 1	100%	95%	VOC	80%	76%
			CO	95%	90%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 2	100%	90%	PM10-PRI	90%	81%
			PM-CON	100%	90%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 3	100%	90%	NOX	90%	81%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Scrubber 1	100%	90%	SO2	90%	81%

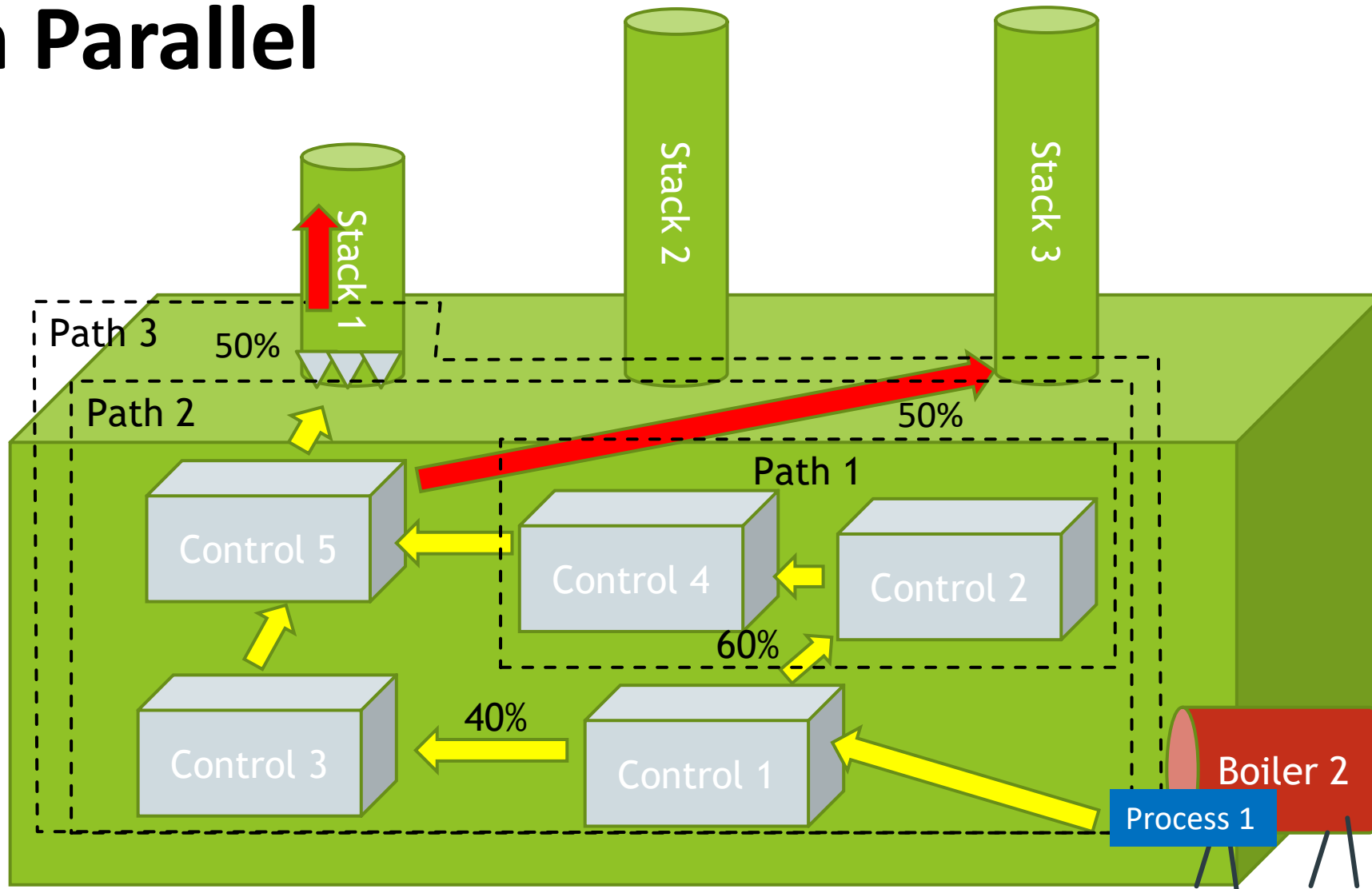
### Path Data

Path ID	Sequence Number	Assignment (Control or Path)	Apportionment (Control or Path)
Path 1	1	Control 2	100%
Path 1	2	Control 3	100%
Path 1	3	Scrubber 1	100%
Path 2	1	Control 1	100%
Path 2	2	Path 1	100%

### Release Point Data

Unit ID	Process ID	Path ID	Release Point ID	Release Point Apportionment
Boiler 1	Process 1	Path 1	Stack 1	100%
Boiler 2	Process 2	Path 2	Stack 1	100%

# In Parallel

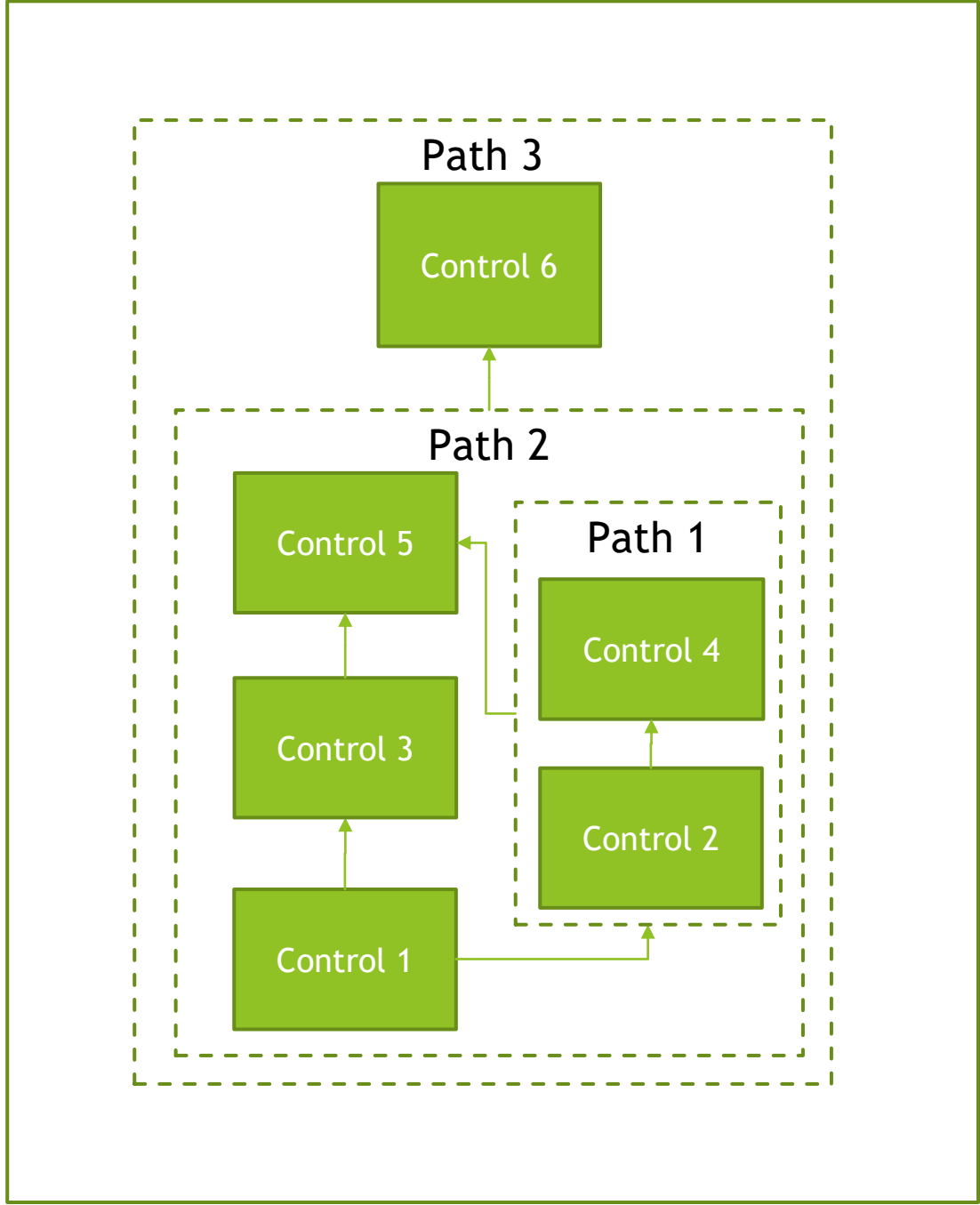


Path 1 is a child path of Path 2. Path 2 is a “main path” between the process and Stack 3. Path 2 is a child path of Path 3. Path 3 is a “main path” between the process and Stack 1.

# Numerical Example for Controls in Parallel

## Old Approach Method

Unit ID	Process ID	Control Applicability Level	Control Description	% Capture	% Effectiveness	Control Comment	Pollutant	% Reduction Efficiency
Boiler 2	Process 2	PROCESS	Control approach name	94%	93%	Control approach	VOC	80%
							PM10-PRI	90%
							PM-CON	100%
							CO	95%
							NOX	99%
							Pb	95%
							SO2	97%



New Path Method

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 1	90%	95%	VOC	80%	68%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 2	100%	90%	PM10-PRI	90%	81%
			PM-CON	100%	90%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 3	100%	90%	CO	95%	86%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 4	80%	95%	NOX	99%	75%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Control 5	95%	90%	Pb	95%	81%

Control ID	R.P. Apportion.	% Effectiveness	Pollutant	% Efficiency	Overall % Reduction
Scrubber 1	100%	98%	SO2	97%	95%

Path Data

Path ID	Sequence Number	Assignment (Control or Path)	Apportionment (Control or Path)
Path 1	1	Control 2	100%
Path 1	2	Control 4	100%
Path 2	1	Control 1	100%
Path 2	2	Control 3	40%
Path 2	2	Path 1	60%
Path 2	3	Control 5	100%
Path 3	1	Path 2	100%
Path 3	2	Scrubber 1	100%

Release Point Data

Unit ID	Process ID	Path ID	Release Point ID	Release Point Apportionment
Boiler 2	Process 1	Path 2	Stack 3	47%
Boiler 2	Process 1	Path 3	Stack 1	47%
Boiler 2	Process 1	Path 2	Fugitive	3%
Boiler 2	Process 1	Path 3	Fugitive	3%

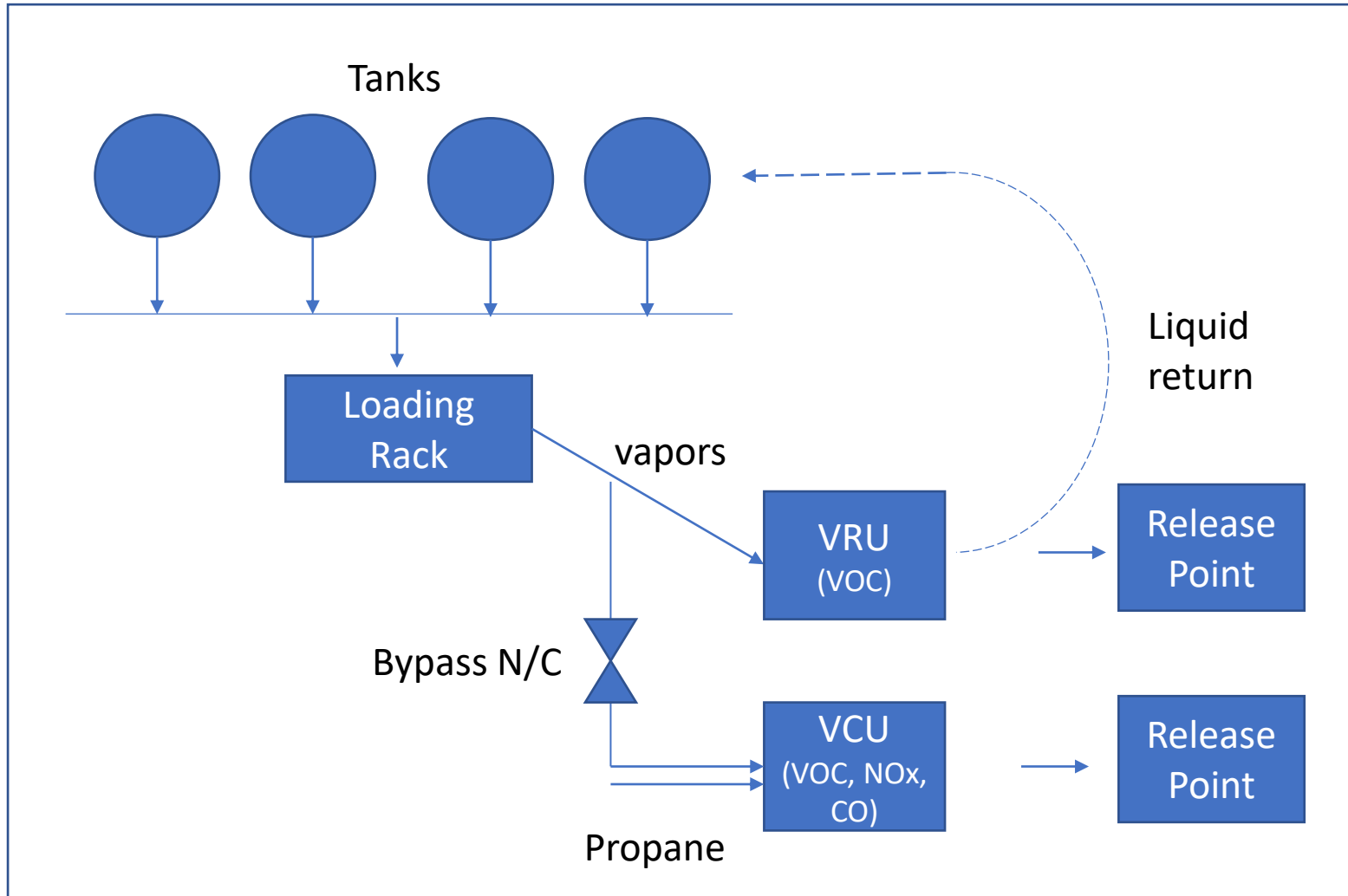
Average path release point apportionment 94%, could be weighted average or other as estimated by the facility reporter

# Additional Considerations about Overall % Controlled

When calculating emissions, if you have more than one control for the *same* pollutant, e.g. controls 1 and 3 both remove SO<sub>2</sub>, then your Overall % controlled may be:

- **In series:** overall % control 1 \* overall % control 2 \* ...
- **In parallel:** (overall controlled emissions 1 + overall controlled emissions 2+...)/uncontrolled emissions
- **Really complex controls configuration:** estimate:
  - e.g. (controlled emissions in series + controlled emissions in parallel)/uncontrolled emissions,
  - e.g. weighted average of the % pollutant control efficiency for all controls

# Real Example 2

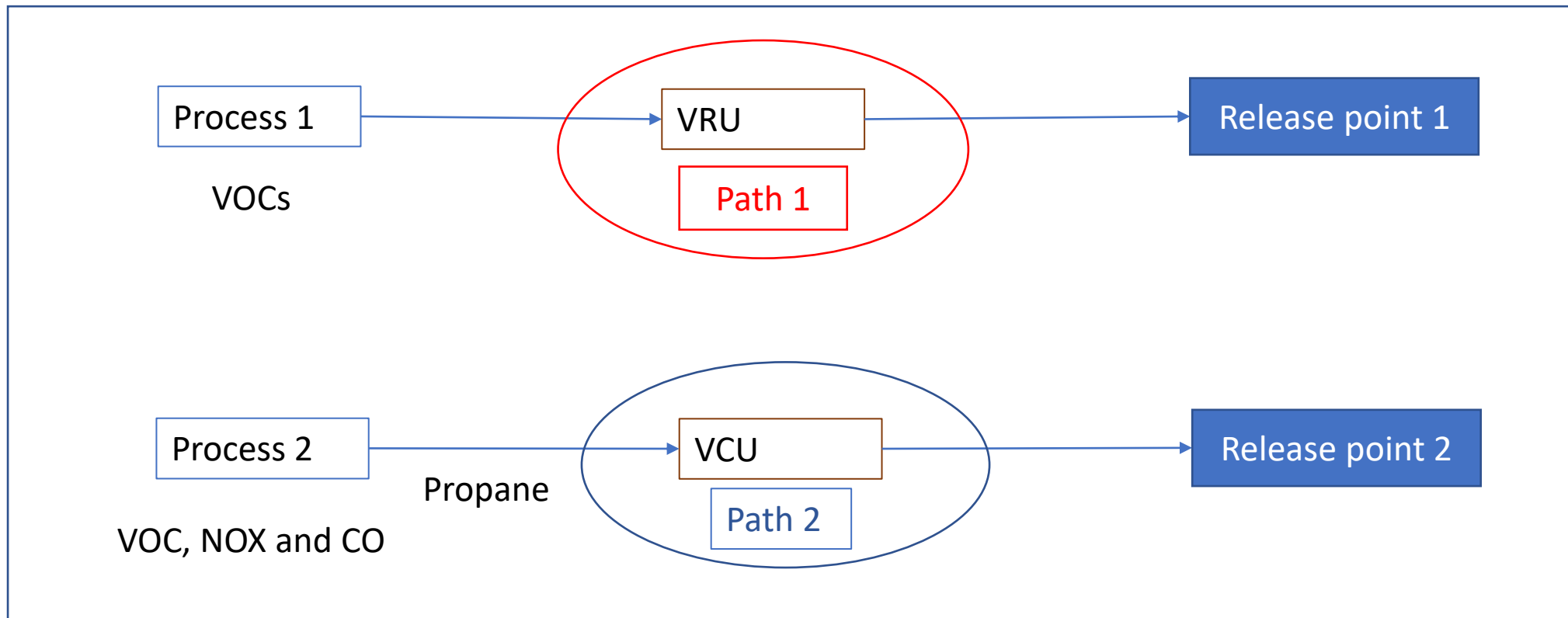


1. The emissions only go to the VCU when the VRU is not working, per permit. The VCU is a backup to the VRU and only was used about 1% of 2019, about 100 hours.

2. Only one runs at a time, taking 100% of the inlet/emissions.

3. Two different release points. A vent off the VRU, the VCU is a stack/flare.

4. Gasoline vapor is only emissions off the loading rack. So VCU emissions are VOCs. Because we use propane in the VRC it's has VOC and NOx/CO.



Process 1 (VOC)

**Path 1:**  
VRU sequence 1

If individual control capture isn't 100% then rel apportionment should be adjusted to reflect fugitives.

Process 2 (VOC, NOX and CO)

**Path 2**  
VCU Sequence 1

Recall VCU is a “backup” for the first process.



Path Data			
Path ID	Sequence Number	Control or Child Path Assignment	Assigned Control or Child Path Apportionment
Path 1	1	VRU	100%
Path 2	1	VRC	100%

Release Point Data				
Unit ID	Process ID	Path ID	Release Point ID	Release Point Apportionment
Unit 1	Process 1	Path 1	Release Point 1	100%
	Process 2	Path 2	Release Point 2	100%

There are two different processes with different SCCs:

Evaporation recovery unit of gasoline vapors, for VOC and HAP-VOC pollutants.

Propane combustion process including all combustion pollutants, plus whatever VOC wasn't combusted.

How long each control runs (100 hours), etc. would be entered with the process information.

# “Take Home” Messages about Path Approach

- An inventory of controls is defined at the facility level
- The relationship between the controls is defined by one or more “control paths”
  - You must define a path for each unique set of controls encountered between the emissions generation point and the release point
  - A path is composed of controls AND / OR paths
  - You define the order of the controls through these associations
  - You may define sets of controls that operate at the same time (parallel controls) by defining the percentage of the stream that flows in one direction or another.
- Associate a release point apportionment record to a given path

# Additional Considerations for More than One Control

- How you set up the paths and controls in them for complex control set ups is up to you so long as the basic rules of child/parent/main paths are followed and all required data is entered.
- Path level pollutant efficiency may be an estimate, for paths containing several controls for a single pollutant. E.g. two controls that remove NO<sub>x</sub>.
  - **In series:** multiplication of control efficiencies
  - **In parallel:** controlled emissions from efficiency/total uncontrolled, average, weighted average
  - **More complex controls:** average, weighted average

# How to Get Help

**Regardless of what help you need always send:**

1. Facility name and ID
2. Screenshot(s) of error you are getting
3. BU template you are using that is giving you errors
4. Diagram/even if by hand and scanned in of the controls set up you have (especially for complex controls)

**Steps:**

1. Help Desk first (Click Help in UI top right of your screen)
2. Your SLT (they will elevate to EPA as needed)



# UPCOMING HELP SESSIONS

- **GA EPD HAPs Reporting in CAERS for 2020 NEI**
  - Tuesday, April 20 2:30 – 3:30 PM
  - Register: <https://geco.gaepd.org/EventRegistration/Default.aspx>
- **GA Live Virtual Help Sessions (April – June)**
  - Email sign-up will be offered
  - Attendance Requirements:
    - Send questions to [emissions.inventory@dnr.ga.gov](mailto:emissions.inventory@dnr.ga.gov) with a screen shot of your problem
    - We will try to address by email first
    - If not resolved by email, we will provide a live help session time slot
  - Help Session Times
    - Every other Tuesday & Thursday from April 27 – May 27
    - Every Tuesday & Thursday in June
    - Tuesdays: 10-11 AM; Thursdays: 2-3 PM

# Help Sessions for Other SLTs

To be scheduled. Reach out to your SLT if you need help via office hours, if help desk have not been able to help you resolve your issue.

# Q & A