

STATE OF GEORGIA
CERTIFICATION OF FINANCIAL RESPONSIBILITY

Source: §280.111(b) (11) (i) as adopted by GUST Rule 391-13-5

Note: This form must be submitted in addition to financial responsibility documentation on an annual basis.

Facility Name: _____ GA EPD Fac ID#: _____

_____ hereby certifies compliance with the requirements of Subpart H of 40 CFR Part
[Owner or Operator]

280. The financial assurance mechanism(s) used to demonstrate financial responsibility (FR) under Subpart H of 40 CFR Part 280 is (are) as follows:

Type of Mechanism (check all that apply):

| | | |
|----------------------------------|------------------|-----------------------------------|
| GUST Trust Fund | Letter of Credit | Local Government Bond Rating Test |
| Financial Test of Self-Insurance | Trust Fund | Local Government Financial Test |
| Guarantee | Standby Trust | Local Government Guarantee |
| Insurance Endorsement | Surety Bond | Local Government Fund |
| Certificate of Insurance | | |

Name of Issuer: _____
[Firm, Guarantor, Issuer, Issuing Institution, Surety(ies), Trustee or Owner or Operator]

Mechanism Number: _____ **Period of Coverage:** _____ **to** _____
[If applicable]

Complete the following only as applicable [Required when Bond, Letter of Credit and Guarantees are used]:

| | |
|--|-----------------------|
| Standby Trust Fund (SBTF) Trustee: _____ [Required when Bond, Letter of Credit and Guarantees are used] | |
| SBTF entered into date: _____ | Account number: _____ |
| Financial Test used [required for all Guarantees]: Form _____ completed | |

| | | | |
|----------------|------------------------------------|-------------------|----------------------------------|
| Covers: | “Taking corrective action” | Caused by: | “Sudden accidental” releases |
| | “Compensating third-parties for | | “Non-sudden accidental” releases |
| | Bodily injury and property damage” | | “Accidental” releases |

[Signature of Witness or Notary]

[Signature of owner or operator]

[Name of Witness or Notary or Include Notary Seal]

[Name and title of owner or operator]

[Date]

[Telephone and email of owner or operator]

Please retain this form with your other compliance documents for inspection.

Questions may be directed to: Marci Schneider

Email: marci.schneider@dnr.ga.gov Telephone: 404.938.5902

Document Checklist

Please include:

- ☐ Certification of Financial Responsibility Form P
- ☐ Applicable Form A – O
- ☐ Standby Trust Fund Form H when using Guarantee Form B, K, or L, Surety Bond Form E, or Letter of Credit Form F
- ☐ Self Insurance Form A when using Guarantee Form B
- ☐ Signed Insurance Policy when using Insurance Endorsement C or Certificate of Insurance Form D
- ☐ Schedule of Covered Storage Tanks with applicable retroactive dates
 - per 40 CFR 280.22 must be on or before one of the following:
 - o The date of the most recent baseline assessment conducted or comparable site characterization as determined by the department.
 - o The date of the underground storage tank system installation.
 - o The earliest retroactive coverage date of the previous insurance policy or alternative financial responsibility mechanism.
- ☐ Additional Documents in References and Requirements Table

* Please note that ACORDs, Declarations and Binders do not meet the requirements for demonstrating financial responsibility compliance.

Certificate of Financial Responsibility Guidance

- ☐ Insured name on mechanism(s) must match owner/operator name of the tanks, must be a legal entity (government agency or sole proprietor) and must be one or more of the following: facility owner, tank owner, facility operator, or tank operator.
- ☐ "Name of Issuer" means the legal entity of the company providing the insurance, bond or guarantee, etc. Enter "self" if the owner or operator is providing a Financial Test or is using a Fund. If using a Guarantee, use the Grantor's name.
- ☐ "Mechanism Number" is applicable for the following:
 - Insurance Endorsement (policy number)
 - Certificate of Insurance (policy number)
 - Performance Bond (surety bond number)
 - Letter of Credit (letter of credit number)
 - Trust Fund Agreement (account number)
- ☐ Boxed section is completed when a Bond, Letter of Credit, or Guarantee is used.
 - Note: Guarantee will be the primary mechanism and the supporting (if required) financial test or fund information will go in the boxed section.