



Instructions For Form ERC-3
Application for Use of Emission Reduction Credits
[Georgia Rules for Air Quality Control Chapter 391-3-1-.03(13)]

This form is for use by credit holders that are planning to withdraw emission reduction credits for use as offsets, creditable reductions, or supplemental environmental projects. Please complete a separate application for each certificate from which emission reduction credits are being withdrawn.

I. Generating Company Identifying Information

This section provides information to identify the source that generated the emission reduction. Complete this section for all applications.

- A. Company Name: The name of the company that generated the ERC.
- B. Plant/Site Name: The name of the plant or facility that generated the ERC.
- C. Facility Address: The street address of the plant or facility that generated the ERC, not the corporate mailing address.
- D. City: The city in which the plant or facility that generated the emission reduction is located.
- E. State: The state in which the plant or facility that generated the emission reduction is located.
- F. Zip Code: The postal zip code for the plant or facility that generated the emission reduction.
- G. County: The county in which the plant or facility that generated the emission reduction is located.
- H. Original ERC Certificate No: The number of the first, or original, ERC certificate that was issued for the emission reduction. This number will be referenced on the current ERC certificate. If no credits have been traded or used since the original certificate was issued, then the original and current certificate numbers should be the same.
- I. Date Issued: The date on which the original ERC certificate was issued. This date should be referenced on the current ERC certificate.



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II. Owner's Identifying Information

Complete this section if the holder or owner of the Emission Reduction Credits is other than the company that generated the emission reduction.

- A. Company Name: The name of the company holding the ERC.
- B. Mailing Address: Street address or P.O. Box number where the holding company may be contacted by mail.
- C. City: The city corresponding to the holding company's mailing address.
- D. State: The state corresponding to the holding company's mailing address.
- E. Zip Code: The postal zip code corresponding to the holding company's mailing address.

III. Contact Information

Use this section to provide information for the principal contact for questions regarding the withdrawal and use of the emission reduction credits during the Division's review of the application. Complete this section for all applications.

- A. Contact Name: Name of the principal contact for questions regarding the withdrawal and use of the emission reduction credits and any associated permit applications or consent orders.
- B. Title: Official title of the principal contact listed above.
- C. Telephone: Telephone number for the principal contact.
- D. Email: Email address for the principal contact.
- E. Mailing Address: Street address or P.O. Box number where the principal contact may be contacted by mail.
- F. City: The city corresponding to the principal contact's mailing address.
- G. State: The state corresponding to the principal contact's mailing address.
- H. Zip Code: The postal zip code corresponding to the principal contact's mailing address.



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IV. Proposed Use of Emission Reduction Credits

Use this section to identify the proposed use for the emission reduction credits that are being withdrawn from the bank. Check only one box.

- A. Check this box if the ERCs are to be used as offsets, at a 1.2 to 1 ratio, for permitting under the nonattainment New Source Review rules within the Atlanta Nonattainment Area (Zone 1 on color-coded map).
- B. Check this box if the ERCs are to be used as offsets, at a 1.1 to 1 ration, for permitting under the BACT + offsets permitting requirements within an area contributing to ambient air levels of ozone within the Atlanta Nonattainment Area (Zones 2 or 3 on color-coded map).
- C. Check this box if the ERCs are to be used as creditable reductions, at a ratio of 1 to 1, in a netting demonstration to avoid nonattainment New Source Review or PSD permitting requirements.
- D. Check this box if the ERCs are to be used as internal offsets, at a ratio of 1.3 to 1, by sources subject to New Source Review but opting to avoid Lowest Achievable Emission Rate controls under Section 391-3-1-.03(8)(c)13.(iv).
- E. Check this box if the ERCs are to be withdrawn from the bank and permanently retired, e.g., as part of a supplemental environmental project required in a consent order.

V. Construction Application, Consent Order, and Permit Numbers Pertaining to Use of ERCs

Please provide information to identify any construction applications, permits or consent orders that are related to the use of emission reduction credits.

- A. If the ERCs are to be used as offsets or creditable reductions relating to a plant construction or modification, please provide the corresponding construction permit application number in this space.
- B. If the ERCs are to be used as offsets or creditable reductions relating to a plant construction or modification and the construction permit or permit amendment has already been issued, please provide the corresponding permit number in this space.
- C. If a construction permit number was provided in Item B, please provide the effective date of the construction permit or amendment in this space.
- D. If the ERCs are to be withdrawn from the bank and permanently retired as part of a supplemental environmental project required by a consent order, please provide the consent order number in this space.
- E. If a consent order number was provided in Item D, please provide the date on which the order was executed in this space.



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VI. Amount of Emission Reduction Credits Required

In the case where emission reduction credits are being withdrawn and used as offsets or creditable reductions, use this section to provide detailed information on the emission increases and amount of offsets or creditable reductions required.

- Column 1 List in this column all of the new or modified sources that are generating the increase in emissions for which offsets or creditable reductions are needed.
- Column 2 Identify the criteria pollutant for which offsets or creditable reductions are needed.
- Column 3 For existing units undergoing reconstruction or modifications, list the Baseline Emissions, which are usually equal to the average annual emissions for the 24 month period immediately preceding the modification, in units of tons per year. For new sources, the baseline emissions are zero.
- Column 4 Provide the potential or allowable emissions for the new, reconstructed or modified emissions sources, in units of tons per year.
- Column 5 The Permitted Increase, in units of tons per year, is equal to the future potential or allowable emissions minus the baseline emissions.
- Column 6 The Offset Ratio refers to the appropriate ratio of emission reduction credits to increased emissions. These ratios are 1.2 to 1 for sources undergoing nonattainment New Source Review, 1.1 to 1 for sources subject to the BACT + offsets requirements in Zones 2 or 3 of the color-coded area map, 1 to 1 for sources using the credits as creditable reductions in netting demonstrations to avoid New Source Review or BACT + offsets permitting requirements, or 1.3 to 1 for sources using emission reduction credits as internal offsets to avoid the Lowest Achievable Emission Rate requirements of nonattainment New Source Review pursuant to Section 391-3-1-.03(8)(c)13.(iv).
- Column 7 The quantity of emission reduction credits required, in units of tons per year, is equal to the Permitted Increase multiplied by the Offset Ratio.

VII. Amount of ERCs to Be Withdrawn from the Bank and Used

Indicate the total amount of emission reduction credits, in units of tons per year, to be withdrawn from the certificate and used as offsets or as creditable reductions, or to be retired. If this quantity is less than the total amount of credits remaining in the certificate, a new certificate will be issued for the balance.



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VIII. Certification by Responsible Official

This application to withdraw and use emission reduction credits must be signed by an authorized representative of the company that currently holds the ERC certificate. If the current holder is also the generator of the emission reduction, and if this facility is a major source subject to the federal Title V operating permit program, then the signatory must meet the regulatory criteria for “Responsible Officials” listed in 40 CFR Part 70.2 (definition of “Responsible Official”). Please provide the name, title and signature of the company’s authorized representative or Responsible Official and the date on which the application was signed. Complete this section for all applications.