

This form is for the use of holders of Emission Reduction Credit Certificates who wish to transfer all or part of their credits to another holder. A separate application must be submitted for each ERC Certificate for which credits are being transferred from one holder to another.

I. Generating Company Identifying Information

This section provides information to identify the source that generated the emission reduction. This information can be found on the ERC Certificate itself. Complete this section for all applications.

A.	Company Name:	The name of the company that generated the emission reduction.
B.	Site Name:	The name of the plant or facility that generated the emission reduction.
C.	Street Address:	The street address of the plant or facility that generated the emission reduction, not the corporate mailing address.
D.	City:	The city in which the plant or facility that generated the emission reduction is located.
E.	State:	The state in which the plant or facility that generated the emission reduction is located.
F.	Zip Code:	The postal zip code for the plant or facility that generated the emission reduction.
G.	County:	The county in which the plant or facility that generated the emission reduction is located.
H.	Original ERC Certificate No.:	The number of the first, or original, ERC certificate that was issued for the emission reduction. This number will be referenced on the current ERC certificate. If no credits have been traded or used since the original certificate was issued, then the original and current certificate numbers should be the same.
I.	Date Issued:	The date on which the original ERC certificate was issued. This date should be referenced on the current ERC certificate.



II. Owner's Identifying Information

Complete this section if the holder of the Emission Reduction Credits is other than the company that generated the emission reduction.

- A. Company Name: The name of the company holding the ERC.
- B. Mailing Address: Address where the holding company may be contacted by mail.
- C. City: The city corresponding to the holding company's mailing address.
- D. State: The state corresponding to the holding company's mailing address.
- E. Zip Code: The postal zip code corresponding to the holding company's mailing address.

III. Owner's Contact for Sale of Emission Reduction Credits

Use this section to provide information for the holding company's principal contact for questions regarding the transfer or sale of the Emission Reduction Credit(s). Complete this section for all applications.

A.	Contact Name:	Name of the principal contact for questions regarding the transfer or sale of the ERCs.
B.	Title:	Official title of the principal contact listed above.
C.	Company:	Company or firm employing the principal contact.
D.	Telephone:	Telephone number for the principal contact.
E.	Email:	List email address to be contacted by email.
F.	Mailing Address:	Street address or P.O. Box number where the principal contact may be contacted by mail.
G.	City:	The city corresponding to the principal contact's mailing address.
H.	State:	The state corresponding to the principal contact's mailing address.
I.	Zip Code:	The postal zip code corresponding to the principal contact's mailing address.



IV. Buyer's Identifying Information

This section provides identifying information for the future holder of all or a portion of the Emission Reduction Credits. Complete this section for all applications.

- A. Company Name: The name of the company that will hold all or a portion of the Emission Reduction Credits.
- B. Mailing Address: Address where the holding company may be contacted by mail.
- C. City: The city corresponding to the holding company's mailing address.
- D. State: The state corresponding to the holding company's mailing address.
- E. Zip Code: The postal zip code corresponding to the holding company's mailing address.

V. Buyer's Contact for Future Sale of Emission Reduction Credits

Use this section to provide information for the principal contact for questions regarding the future transfer or sale of the Emission Reduction Credit(s). This person will be contacted by companies interested in purchasing the ERC(s) for use as offsets. Complete this section for all applications.

A.	Contact Name:	Name of the principal contact for questions regarding the future transfer or sale of the ERCs.
B.	Title:	Official title of the principal contact listed above.
C.	Company:	Company or firm employing the principal contact.
D.	Telephone:	Telephone number for the principal contact.
E.	Email:	Email address for the principal contact.
F.	Mailing Address:	Street address or P.O. Box number where the principal contact may be contacted by mail.
G.	City:	The city corresponding to the principal contact's mailing address.
H.	State:	The state corresponding to the principal contact's mailing address.



I. Zip Code: The postal zip code corresponding to the principal contact's mailing address.

VI. Emission Reduction Credits to Be Transferred

This section identifies the current ERC certificate number and the amount of emission reduction credits to be transferred in this transaction. Complete this section for all applications.

- Column 1: Provide the current ERC certificate number. This number appears on the ERC certificate itself.
- Column 2: Provide the date on which the current ERC certificate was issued.
- Column 3: Provide the total amount of emission reduction credits for the current certificate. This value appears on the ERC certificate.
- Column 4: Provide the amount of emission reduction credits to be transferred.
- Column 5: Provide the amount of emission reduction credits to be retained by the current holder. The sum of the values in columns 4 and 5 should equal the value of column 3.
- Column 6: Identify the pollutant associated with the emission reduction credits. The pollutant is identified on the ERC certificate.



VII. Purchase Dates and Prices

This section provides information on the effective date of the transfer of the emission reduction credits.

- A. Acquisition Provide the date on which the transfer of the emission reduction Date: credits from the current holder to the future holder becomes effective.
- B. ERC Purchase
Price:Provide the negotiated price of the emission reduction credits (in
dollars per ton) that are being transferred (optional).

VIII. Certification by Responsible Official

The application to transfer emission reduction credits must be signed by an authorized representative of the company that currently holds the ERC certificate. Please provide the name, title and signature of the company's authorized representative or Responsible Official and the date on which the application was signed. Complete this section for all applications.